LEGEND OF ABBREVIATIONS

ASX Australian Stock Exchange Limited

ASXSR Australian Stock Exchange Supervisory Review Pty

Limited

CCB Commercial Crime Bureau of the Police

CSRC China Securities Regulatory Commission

FS Financial Secretary

FSA Financial Services Authority (United Kingdom)

FSTB Financial Services and the Treasury Bureau

GEM Growth Enterprise Market

HKEx Hong Kong Exchanges and Clearing Limited

HKLA Hong Kong Listing Authority

HKMA Hong Kong Monetary Authority

ICAC Independent Commission Against Corruption

IOSCO International Organisation of Securities Commissions

IPO Initial Public Offering
LSE London Stock Exchange

MAS Monetary Authority of Singapore

NYSE New York Stock Exchange

PIPSI Panel of Inquiry on the Penny Stocks Incident

RS Market Regulation Services Incorporated (Canada)
SEC United States Securities and Exchange Commission

SEHK Stock Exchange of Hong Kong Limited
SFAP Securities and Futures Appeals Panel
SFAT Securities and Futures Appeals Tribunal

SFC Securities and Futures Commission

SFCO Securities and Futures Commission Ordinance

SFO Securities and Futures Ordinance

SFST Secretary for Financial Services and the Treasury

SGX Singapore Exchange Limited