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17 April 2015

Civil Engineering and Development Department

**Environmental Impact Assessment (EIA) Ordinance, Cap.499
Application for EIA Study Brief**

**Project Title: Tung Chung New Town Extension
(Application No. ESB-285/2015)**

I refer to your above application received on 18 March 2015 for an EIA Study Brief under Section 5(1)(a) of the EIA Ordinance.

In accordance with Section 5(7)(a) of the EIA Ordinance and after public inspection of the project profile, I issue the attached EIA Study Brief (No. ESB-285/2015) for your preparation of an EIA report.

Under Section 15 of the EIA Ordinance, the EIA Study Brief will be placed on the EIA Ordinance Register. It will also be placed on the EIA Ordinance website (<http://www.epd.gov.hk/eia/>).

You may submit an application for approval of the EIA report in accordance with Section 6(2) of the EIA Ordinance after its completion. Upon receipt of your application, this department will decide under Section 6(3) of the EIA Ordinance whether the EIA report meets the requirements of the EIA Study Brief and Technical Memorandum on EIA Process, and accordingly advise you under Section 6(4) of the EIA Ordinance whether a submission to the Advisory Council on the Environment (ACE) or its subcommittee is required. In this connection, you are required to provide sufficient copies of the Executive Summary of the EIA report to the Secretariat of the EIA Subcommittee of the Council for selection for submission when you submit the EIA report to this department for approval. Please liaise with Ms. Evelyn LEUNG (Tel: 2594 6323) regarding the details in due course.

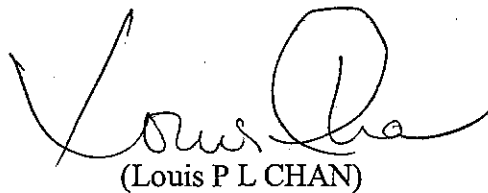
If the EIA report is selected by ACE for submission and presentation, you are expected to provide ACE with an account of the environmental issues arising from the project, major

conclusions and recommendations of the EIA study. In particular, the main environmental concerns of the general public and interest groups who may be affected by the Project should be identified and addressed in the EIA study. As such, you are strongly advised to engage the public and interest groups during the course of the EIA study. Please find attached a copy of the "*Modus Operandi of the EIA Subcommittee of the Advisory Council on the Environment*" for your reference.

Please note that if you are aggrieved by any of the content of this EIA Study Brief, you may appeal under Section 17 of the EIA Ordinance within 30 days of receipt of this EIA Study Brief.

Should you have any queries on the above application, please contact my colleague Mr. Lawrence Ngo at 2835 1751.

Yours sincerely,

A handwritten signature in black ink, appearing to read 'Louis P L Chan', written in a cursive style.

(Louis P L CHAN)

Principal Environmental Protection Officer
for Director of Environmental Protection

Encl.

c.c. (w/o encl.)

Secretary of ACE EIA Subcommittee (Attn : Ms. Evelyn LEUNG) Fax: 2872 0603

ID: TCeiasbltr4.15

ENVIRONMENTAL IMPACT ASSESSMENT ORDINANCE (CAP. 499)
SECTION 5(7)**ENVIRONMENTAL IMPACT ASSESSMENT STUDY BRIEF NO. ESB-285/2015****PROJECT TITLE: TUNG CHUNG NEW TOWN EXTENSION**

(hereinafter known as the "Project")

NAME OF APPLICANT: CIVIL ENGINEERING AND DEVELOPMENT DEPARTMENT

(hereinafter known as the "Applicant")

1. BACKGROUND

- 1.1 An application (No. ESB-285/2015) for an Environmental Impact Assessment (EIA) Study Brief under section 5(1)(a) of the Environmental Impact Assessment Ordinance (EIAO) was submitted by the Applicant on 18 March 2015 with a Project Profile (No. PP-523/2015) (hereinafter referred as the "Project Profile").

The Applicant proposes to conduct a planning and engineering feasibility study, "Tung Chung New Town Extension" (the Project), to explore the development potential and opportunities of Tung Chung and its adjacent areas, to determine the scope of Tung Chung extension and to improve the community and regional facilities. The key objective is to recommend a preferred development scheme for the territorial long-term needs. The Potential Development Areas (PDAs) of the Project involve development of a total area of about 240 hectare with about 120 hectare of land to be reclaimed at the eastern part of the Tung Chung New Town (TCE) and another 120 hectare of existing land at the western part of the Tung Chung New Town (TCW). The locations of the PDAs and the Study Area of the Project are shown in the figure attached in the Project Profile which is reproduced as shown in Appendix A of this EIA Study Brief. The Project mainly comprises the following works:

- (i) Reclamation of 120 hectare of land for formation of TCE;
- (ii) Reclamation of 9 hectare of land for extension of Road P1 from Tung Chung to Tai Ho;
- (iii) Construction of District Distributor roads and sewage pumping stations with capacity more than 2,000m³/day within PDAs (locations to be confirmed);
- (iv) Upgrading of the existing Chung Mun Road sewage pumping station from the existing capacity of about 3,500 m³/day to a proposed capacity of over 4,500 m³/day and a few sections of the existing rising mains/sewers close to the PDA at TCW;
- (v) Construction of a marina with about 95 berths at the PDA at TCE;
- (vi) Construction of an outdoor sporting facility with a capacity of over 10,000 persons;
- (vii) Construction of a petrol filling station with an area of about 800m²;
- (viii) Construction of two service reservoirs, including one for fresh water and the other for flushing water, with capacities of 55,000 m³ and 11,000 m³ respectively;
- (ix) Construction of about 4 km long twin rising mains at TCE connecting a proposed sewage pumping station within the PDA at TCE to the existing Siu Ho Wan (SHW) Sewage Treatment Works (STW); while the upgrading works required for the existing SHW STW will not be undertaken in this Project;

- (x) Construction of a possible waterfront promenade at the coastal area of proposed Town Park;
- (xi) De-channelization of the existing channelized section of Tung Chung Stream for environmental enhancement and amenity uses as a river park;
- (xii) Construction of possible environmental enhancement works and amenity uses as a river park in the land adjacent to the immediate upstream of the channelized section of Tung Chung Stream to Shek Mun Kap;
- (xiii) Construction of a comprehensive network of cycle track of 12km long along the proposed distributor roads, waterfront promenade, walkways and along future Road P1 from Tung Chung to Tai Ho;
- (xiv) Construction of a possible cycle park with an area of about 1.4ha to be surrounded by slip roads connecting the future Tai Ho Interchange to integrate with the cycle tracks in TCE;
- (xv) Construction of a sustainable urban drainage system within TCW which may comprise polders, dual-purpose flood attenuation and stormwater treatment ponds (locations and extent to be confirmed);
- (xvi) Construction of a village sewerage system for the unsewered villages within TCW (location and extent of the sewerage to be confirmed); and
- (xvii) Space provision of facilities for suitable green initiatives such as regional energy efficiency system and environmentally friendly systems (such as electric buses, electric cars and bicycle sharing system).

The above scope of works is tentative and subject to adjustment and revision during the course of the study.

1.2 The Project is a designated project under Item 1 of Schedule 3 of the EIAO having satisfied that an “Engineering feasibility study of urban development projects with a study area covering more than 20 ha or involving a total population of more than 100,000”. The Project comprises the PDAs and the works that may fall under Schedule 2 of the EIAO. Some of the works identified so far as being Designated Projects in Part I, Schedule 2 of the EIAO, are listed as follows:

- (i) construction of primary distributor roads and district distributor roads (Item A.1);
- (ii) construction of a road more than 100m in length between abutments (Item A.8);
- (iii) reclamation works (including associated dredging works) more than 5 ha in size (Item C.1);
- (iv) possible dredging operation exceeding 500,000 m³ (Item C.12);
- (v) construction of sewage pumping stations with capacity of more than 2,000 m³ per day (Item F.3);
- (vi) construction of a marina designed to provide moorings or dry storage for not less than 30 vessels used primarily for pleasure or recreation (Item O.2); and
- (vii) construction of an outdoor sporting facility with a capacity to accommodate more than 10,000 persons (Item O.7).

1.3 Pursuant to section 5(7)(a) of the EIAO, the Director of Environmental Protection (the Director) issues this EIA Study Brief to the Applicant to carry out an EIA study.

1.4 The purpose of this EIA study is to provide information on the environmental impacts arising from the construction and operation of the developments and associated activities that will take place concurrently. This information will contribute to decisions by the Director on:

- (i) the acceptability of adverse environmental consequences that are likely to arise as a result of the Project and its staged implementation;
- (ii) the conditions and requirements for the design, construction and operation of the Project to mitigate against adverse environmental consequences; and
- (iii) the acceptability of residual impacts, if any, after the proposed mitigation measures are implemented.

1.5 An EIA study brief (No. ESB-251/2012) was first issued to the Applicant on 28 August 2012 for the “Tung Chung New Town Development Extension” project which was subsequently renamed as “Tung Chung New Town Extension”. Following this, another EIA study brief (No. ESB-283/2014) was then issued to the Applicant on 28 January 2015 to cater for certain key changes to the scope of the Project. As a result of further key changes to the scope of the Project recently, the Applicant has now applied for a fresh EIA study brief to cater for these changes.

2. OBJECTIVES OF THE EIA STUDY

2.1 The objectives of the EIA study are as follows:

- (i) to describe the proposed project(s) and associated works together with the requirements and environmental benefits for carrying out the proposed project(s);
- (ii) to identify and describe the elements of the community and environment likely to be affected by the proposed project(s), and/or likely to cause adverse impacts to the proposed project(s), including both the natural and man-made environment and the associated environmental constraints;
- (iii) to identify and quantify emission sources and determine the significance of impacts on sensitive receivers and potentially affected uses;
- (iv) to identify and quantify any potential losses or damage to flora, fauna and natural habitats;
- (v) to identify any negative impacts on sites of cultural heritage and to propose measures to mitigate these impacts;
- (vi) to propose the provision of infrastructure or mitigation measures to minimize pollution, environmental disturbance and nuisance during construction and operation of the project(s);
- (vii) to investigate the feasibility, effectiveness and implications of the proposed mitigation measures;
- (viii) to identify, predict and evaluate the residual (i.e. after practicable mitigation) environmental impacts and the cumulative effects expected to arise during the construction and operation phases of the project(s) in relation to the sensitive receivers and potential affected uses;
- (ix) to identify, assess and specify methods, measures and standards, to be included in the detailed design, construction and operation of the project(s) which are necessary to

mitigate these residual environmental impacts and cumulative effects and reduce them to acceptable levels;

- (x) to design and specify the environmental monitoring and audit requirements; and
- (xi) to identify any additional studies necessary to implement the mitigation measures or monitoring and proposals recommended in the EIA report.

3. DETAILED REQUIREMENTS OF THE EIA STUDY

3.1 The Purpose

3.1.1 The purpose of this EIA Study Brief is to set out the purposes and objectives of the EIA study, the scope of environmental issues which shall be addressed, the requirements that the EIA study shall need to fulfil, and the necessary procedural and reporting requirements. The Applicant shall demonstrate in the EIA report that the criteria in the relevant sections of the Technical Memorandum on Environmental Impact Assessment Process of the EIAO (hereinafter referred to as the “TM”) are complied with.

3.2 The Scope

3.2.1 The scope of this EIA study shall cover the Project mentioned in sections 1.2 and 1.3 of this EIA Study Brief. The EIA study shall address the key issues described below, together with any other key issues identified during the course of the EIA study:

- (i) comparison of the environmental benefits and dis-benefits of different development options of the Project with a view to deriving preferred development option(s) for Tung Chung New Town Extension that would avoid adverse environmental impact;
- (ii) potential air quality impact on air sensitive receivers due to the construction and operation of the Project, including dust, gaseous emissions and odour (if applicable);
- (iii) potential noise impact on noise sensitive receivers due to the construction and operation of the Project, including noise impact from aircraft noise, rail noise, helicopter noise, road traffic, fixed noise sources and marine traffic (if applicable);
- (iv) potential water quality impact caused by the Project and associated works such as site formation, reclamation, sewerage provisions, drainage diversion arising from the construction and operation of the Project;
- (v) potential sewerage and sewage treatment implications to cope with discharges from population and any development from the Project, taking into account the capacity requirements for the existing, committed and planned developments within the sewage catchment;
- (vi) potential waste management issues and impacts arising from the construction and operation of the Project, including handling and disposal of construction and demolition materials and chemicals generated from the recycling facilities (if applicable);
- (vii) potential land contamination arising from the Project;

- (viii) potential impact on recognized sites of conservation importance and other ecologically sensitive areas in the PDAs and its vicinity, due to the construction and operation of the Project;
- (ix) potential fisheries impacts, in particular on fishing grounds, spawning and nursery grounds, and fisheries activities, due to the construction and operation of the Project;
- (x) potential landscape and visual impacts due to the construction and operation of the Project;
- (xi) potential impacts on sites of cultural heritage due to construction and operation of the Project; and
- (xii) potential cumulative environmental impacts of the Project, through interaction or in combination with other existing, committed and planned projects, and that the impacts of these projects may have a bearing on the environmental acceptability of the Project.

3.3 Consideration of Alternatives

3.3.1 Need of the Project

The Applicant shall provide information on the need of the Project, including the purpose and objectives of the Project, and describe the scenarios with and without the Project.

3.3.2 Consideration of Different Development Option(s) and Land Uses

The Applicant shall present in the EIA report the consideration of alternative development option(s) for the Project, including descriptions of environmental factors considered in the option selection, with a view to avoiding adverse environmental effects.

3.3.3 Selection of Preferred Scenario

The Applicant shall, taking into consideration of the findings in section 3.3.2 above, describe the reasons for selecting the preferred scenario(s) and the part that environmental factors played in the selection.

3.4 Technical Requirements

The Applicant shall conduct the EIA study to address the environmental aspects of the Project as described in section 3.2 above. The assessment shall be based on the best and latest information available during the course of the EIA study. The EIA report shall include the construction and operational programme and methodologies for assessing environmental impacts of the Project. The EIA report shall provide the time frame, staged implementation programme, and works programmes of the Project and other concurrent projects, and for assessing the cumulative environmental impacts from the Project and the interacting projects as identified in the EIA study.

The EIA study shall follow the technical requirements specified below and in the Appendices of this EIA study brief.

3.4.1 Air Quality Impact

3.4.1.1 The Applicant shall follow the criteria and guidelines as stated in section 1 of Annex 4 and Annex 12 of the TM respectively, for evaluating and assessing air quality impact.

3.4.1.2 The assessment area for the air quality impact assessment shall be defined by a distance of 500 metres from the boundary of the PDAs and the works of the Project within the Study Area as identified in the EIA, which shall be extended to include major existing, committed and planned air pollutant emission sources identified to have a bearing on the environmental acceptability of the Project. The assessment shall include the existing, committed and planned sensitive receivers within the assessment area. The assessment shall be based on the best available information at the time of the assessment.

3.4.1.3 The assessment of the air quality impact arising from the construction and operation of the Project shall follow the detailed technical requirements given in Appendix B of this EIA Study Brief.

3.4.1.4 The Applicant shall assess the air pollutant concentrations with reference to the relevant sections of the guidelines given in Appendix B-1 attached to this EIA Study Brief, or the methodology as agreed by the Director.

3.4.2 Noise Impact

3.4.2.1 The Applicant shall follow the criteria and guidelines for evaluating and assessing noise impact as stated in Annexes 5 and 13 of the TM respectively.

3.4.2.2 Assessment shall include construction noise, road traffic noise, fixed noise sources, aircraft noise, rail noise, helicopter noise and marine traffic noise impact assessment of the existing, committed and planned NSRs earmarked on the relevant Outline Zoning Plans, Development Permission Area Plans, Outline Development Plans, Layout Plans and other relevant published land use plans, including plans and drawings published by the Lands Department and any land use and development applications approved by the Town Planning Board, in the vicinity of the project.

3.4.2.3 The noise impact assessment of the Project shall follow the detailed technical requirements given in Appendix C of this EIA Study Brief.

3.4.3 Water Quality Impact

3.4.3.1 The Applicant shall follow the criteria and guidelines for evaluating and assessing water pollution as stated in Annexes 6 and 14 of the TM respectively.

3.4.3.2 The assessment area for the water quality impact assessment shall include the North Western Water Control Zone, North Western Supplementary Water Control Zone, Western Buffer Water Control Zone as designated under the Water Pollution Control Ordinance (Cap. 358) and the water sensitive receivers in the vicinity of the Project. The assessment area can be extended to include other areas such as stream courses, existing and new drainage system and the associated water system(s) in the vicinity, if they are found also being likely to be affected by the Project during the EIA study and have a bearing on the environmental

acceptability of the Project.

3.4.3.3 The water quality impact assessment for the construction and operation of the Project shall follow the detailed technical requirements given in Appendix D of this EIA Study Brief.

3.4.4 Sewerage and Sewage Treatment Implications

3.4.4.1 The Applicant shall follow the criteria and guidelines for evaluating and assessing impacts on the downstream public sewerage, sewage treatment and disposal facilities as stated in section 6.5 in Annex 14 of the TM respectively.

3.4.4.2 The assessment of the sewerage and sewage treatment implications for the Project shall follow the detailed technical requirements given in Appendix E of this EIA Study Brief.

3.4.5 Waste Management Implications

3.4.5.1 The Applicant shall follow the criteria and guidelines for evaluating and assessing waste management implications as stated in Annexes 7 and 15 of the TM respectively.

3.4.5.2 The assessment of the waste management implications arising from the construction and operation of the Project shall follow the detailed technical requirements given in Appendix F of this EIA Study Brief.

3.4.6 Land Contamination

3.4.6.1 The Applicant shall follow the guidelines for evaluating and assessing potential land contamination issue(s) as stated in sections 3.1 and 3.2 of Annex 19 of the TM respectively.

3.4.6.2 The assessment of the potential land contamination issue(s) shall follow the detailed requirements given in Appendix G of this EIA Study Brief.

3.4.7 Ecological Impact (Terrestrial and Marine)

3.4.7.1 The Applicant shall follow the criteria and guidelines for evaluating and assessing ecological impact as stated in Annexes 8 and 16 of the TM respectively.

3.4.7.2 The assessment area for the terrestrial ecological impact assessment shall include areas within 500 metres from the boundary of the PDAs and areas likely to be impacted by the Project. For marine ecological impact assessment, the assessment area shall be the same as the assessment area for Water Quality Impact Assessment described in section 3.4.3.2 of this EIA Study Brief or the areas likely to be impacted by the Project.

3.4.7.3 The assessment of the ecological impact for the construction and operation of the Project shall follow the detailed technical requirements given in Appendix H of this EIA Study Brief.

3.4.8 Fisheries Impact

3.4.8.1 The Applicant shall follow the criteria and guidelines for evaluating and assessing fisheries impact as stated in Annexes 9 and 17 of the TM respectively.

- 3.4.8.2 The assessment area shall be the same as that for the water quality impact assessment. This assessment area shall be extended to include other areas if they are found also being likely to be impacted by the construction or operation of the Project during the course of the EIA study and have a bearing on the environmental acceptability of the Project. Special attention should be given to potential loss or disturbance of fishing ground, fisheries habitat, spawning or nursery grounds, water quality deterioration at sensitive receivers such as fish culture zones or artificial reefs.
- 3.4.8.3 The fisheries impact assessment for construction and operation of the Project shall follow the detailed technical requirements given in Appendix I of this EIA Study Brief.

3.4.9 Landscape and Visual Impacts

- 3.4.9.1 The Applicant shall follow the criteria and guidelines for evaluating and assessing landscape and visual impacts as stated in Annexes 10 and 18 of the TM respectively, and the EIAO Guidance Note No. 8/2010 "*Preparation of Landscape and Visual Impact Assessment under the EIAO*".
- 3.4.9.2 The assessment area for the landscape impact assessment shall include landscape character areas and landscape resources within 500 metres from the boundary of the PDAs and the works of the Project within the Study Area as identified in the EIA, while the assessment area for the visual impact assessment shall be defined by the visual envelope of the Project. The extent of the defined visual envelope shall be shown on a plan and documented in the EIA report.
- 3.4.9.3 The landscape and visual impact assessment for the construction and operation of the Project shall follow the detailed technical requirements given in Appendix J of this EIA Study Brief.

3.4.10 Impact of Cultural Heritage

- 3.4.10.1 The Applicant shall follow the criteria and guideline for evaluating and assessing the cultural heritage impacts as stated in Annexes 10 and 19 of the TM respectively.
- 3.4.10.2 The cultural heritage impact assessment shall include a built heritage impact assessment (BHIA), an archaeological impact assessment (AIA) and a marine archaeological investigation (MAI). Details of the technical requirements of the BHIA, AIA and MAI are shown in Appendix K.

3.4.11 Environmental Monitoring and Audit (EM&A) Requirements

- 3.4.11.1 The Applicant shall identify and justify in the EIA study whether there is any need for EM&A activities during the construction and operational phases of the Project and, if affirmative, to define the scope of EM&A requirements for the Project in the EIA study.
- 3.4.11.2 Subject to the confirmation of the EIA study findings, the Applicant shall comply with the requirements as stipulated in Annex 21 of the TM.
- 3.4.11.3 The Applicant shall prepare a Project Implementation Schedule (in the form of a checklist as shown in Appendix L of this EIA Study Brief) containing the EIA study recommendations and mitigation measures with reference to the implementation programme of the Project.

3.5 Presentation of Summary Information

3.5.1 Summary of Environmental Outcomes

The EIA report shall contain a summary of key environmental outcomes arising from the EIA study, including estimated population protected from various environmental impacts, environmentally sensitive areas protected, environmentally friendly options considered and incorporated in the preferred option, environmental designs recommended, key environmental problems avoided, compensation areas included and the environmental benefits of environmental protection measures recommended.

3.5.2 Summary of Environmental Impacts

To facilitate retrieval of pertinent key information, the EIA report shall contain a summary table of environmental impacts showing the assessment points, results of impact predictions, relevant standards or criteria, extents of exceedance predicted, impact avoidance measures considered, mitigation measures proposed and residual impacts (after mitigation). This summary shall cover each individual impact and shall also form an essential part of the executive summary of the EIA report.

3.5.3 Documentation of Key Assessment Assumptions and Limitations of Assessment Methodologies

To facilitate retrieval, the EIA report shall contain a summary including the assessment methodologies and key assessment assumptions adopted in the EIA study, the limitations of these assessment methodologies/assumptions. The proposed use of any alternative assessment tool(s) or assumption(s) have to be justified by the Applicant, with supporting documents based on cogent, scientific and objectively derived reason(s). The supporting documents shall be provided in the EIA report.

4. DURATION OF VALIDITY

- 4.1 The Applicant shall notify the Director of the commencement of the EIA study. If the EIA study does not commence within 36 months after the date of issue of this EIA Study Brief, the Applicant shall apply to the Director for a fresh EIA study brief before commencement of the EIA study.

5. REPORTING REQUIREMENTS

- 5.1 In preparing the EIA report, the Applicant shall refer to Annex 11 of the TM for the contents of an EIA report. The Applicant shall also refer to Annex 20 of the TM, which stipulates the guidelines for the review of an EIA report. The Applicant shall accompany with the submission of the EIA report provide a summary, pointing out where in the EIA report the respective requirements of this EIA Study Brief and TM (in particular Annexes 11 and 20) have been addressed and fulfilled.
- 5.2 The Applicant shall supply the Director with hard and electronic copies of the EIA report and the executive summary in accordance with the requirements given in Appendix M of this EIA Study Brief. The Applicant shall, upon request, make additional copies of the

above documents available to the public, subject to payment by the interested parties of full costs of printing.

6. OTHER PROCEDURAL REQUIREMENTS

- 6.1 If there is any change in the name of Applicant for this EIA Study Brief during the course of the EIA study, the Applicant must notify the Director immediately.
- 6.2 If there is any key change in the scope of the Project mentioned in section 1.1 of this EIA Study Brief and in the Project Profile (No. PP-523/2015), the Applicant must seek confirmation from the Director in writing on whether or not the scope of issues covered by this EIA Study Brief can still cover the key changes, and the additional issues, if any, that the EIA study must also address. If the changes to the Project fundamentally alter the key scope of this EIA Study Brief, the Applicant shall apply to the Director for a fresh EIA study brief.

7. LIST OF APPENDICES

- 7.1 This EIA Study Brief includes the following appendices:

- Appendix A – Project Location Plan
- Appendix B – Requirements for Air Quality Impact Assessment
- Appendix B-1 – Air Quality Modelling Guidelines

- Appendix C – Requirements for Noise Impact Assessment
- Appendix D – Requirements for Water Quality Impact Assessment
- Appendix D-1 – Hydrodynamic and Water Quality Modelling Requirements
- Appendix E – Requirement for Assessment of Sewerage and Sewage Treatment Implications
- Appendix F – Requirements for Assessment of Waste Management Implications
- Appendix G – Requirements for Land Contamination Assessment
- Appendix H – Requirements for Ecological Impact Assessment (Terrestrial and Marine)
- Appendix I – Requirements for Fisheries Impact Assessment
- Appendix J – Requirements for Landscape and Visual Impact Assessment
- Appendix K – Requirements for Cultural Heritage Impact Assessment
- Appendix K-1 – Guidelines for Cultural Heritage Impact Assessment
- Appendix K-2 – Guidelines for Marine Archaeological Investigation (MAI)
- Appendix L – Implementation Schedule of Recommended Mitigation Measures
- Appendix M – Requirements for EIA Report Documents

--- END OF EIA STUDY BRIEF ---

April 2015
Environmental Assessment Division
Environmental Protection Department

Appendix B

Requirements for Air Quality Impact Assessment

The air quality impact assessment shall include the following:

1. **Background and Analysis of Activities**

- (i) Provision of background information relating to air quality issues relevant to the Project, e.g. description of the types of activities of the Project that may affect air quality during both construction and operational stages.
- (ii) Provision of an account, where appropriate, of the consideration/measures that have been taken into consideration in the planning of the Project to abate the air pollution impact. The Applicant shall consider alternative construction methods, phasing programmes and alternative modes of operation to minimise the air quality impact during construction and operational stages of the Project.
- (iii) Presentation of the existing and predicted future air quality levels in the assessment area for the purpose of evaluating cumulative air quality impacts during construction and operation stages of the Project and quantifying any change in air quality levels as compared with the existing baseline conditions. The Applicant may establish the existing air quality conditions based on properly collected ambient air quality monitoring data and augmented with air quality modelling tools. The PATH model may be used to estimate the future background air quality. Details for the estimation of all emission sources to be adopted in the model runs should be clearly presented.

2. **Identification of Air Sensitive Receivers (ASRs) and Examination of Emission/ Dispersion Characteristics**

- (i) Identification and description of existing, committed and planned ASRs that would likely be affected by the Project, including those earmarked on the relevant Outline Zoning Plans, Development Permission Area Plans, Outline Development Plans, Layout Plans and other relevant published land use plans, including plans and drawings published by the Lands Department and any land use and development applications approved by the Town Planning Board. The Applicant shall select the assessment points of the identified ASRs that represent the worst impact point of these ASRs. A map clearly showing the location and description such as name of buildings, their uses and height of the selected assessment points shall be given. The separation distances of these ASRs from the nearest emission sources shall also be given. For phased development, the Applicant shall review the development programme and, where appropriate, to include occupiers of earlier phases as ASRs of construction phase impact if they may be affected by works of later phases.
- (ii) Provision of a list of air pollutant emission sources, including any nearby emission sources which are likely to have impact related to the Project based on the analysis of the construction and operation activities in section 1 above. Examples of construction stage emission sources include stock piling, blasting, concrete batching, material handling and vehicular movements on unpaved haul roads on site, etc. Examples of operation stage emission sources include exhaust emissions from vehicles; emissions from marine vessels; emissions from aircraft; emissions of gaseous pollutants such as volatile organic compounds (VOC) from the production

processes and facilities if recycling facilities are proposed under the Project; odour emissions from sewage treatment/disposal facilities, ventilation buildings and production processes and facilities, etc. Confirmation regarding the validity of assumptions and the magnitude of activities (e.g. volume of construction material to be handled, odour emission strength, etc.) shall be obtained from the relevant government departments/authorities and documented in the EIA report.

- (iii) Identification of chimneys and obtainment of relevant chimney emission data in the assessment area by carrying out a survey for assessing the cumulative air quality impact of air pollutants through chimneys. The Applicant shall ensure and confirm the validity of the emission data used in their assessment. Any errors found in their emission data used may render the submission invalidated.
- (iv) The emissions from any concurrent projects identified as relevant during the course of the EIA study shall be taken into account as contributing towards the overall cumulative air quality impact. The impacts at the existing, committed and planned ASRs within the assessment area shall be assessed, based on the best information available at the time of assessment.

3. Construction Phase Air Quality Impact

- (i) The Applicant shall follow the requirements stipulated under the Air Pollution Control (Construction Dust) Regulation to ensure that construction dust impacts are controlled within the relevant standards as stipulated in section 1 of Annex 4 of the TM.
- (ii) If the Applicant anticipates that the Project will give rise to significant construction dust impacts likely to exceed recommended limits in the TM at the ASRs despite the incorporation of the dust control measures proposed, a quantitative assessment shall be carried out to evaluate the construction dust impact at the identified ASRs. The Applicant shall follow the methodology set out in section 5 below when carrying out the quantitative assessment.
- (iii) A monitoring and audit programme for the construction phase of the Project shall be devised to verify the effectiveness of the proposed control measures so as to ensure proper control of fugitive dust emission.

4. Operational Phase Air Quality Impact

- (i) The Applicant shall assess the expected air pollutant concentrations at the identified ASRs based on an assumed reasonably worst-case scenario under normal operating conditions of the Project. The evaluation shall be based on the strength of the emission sources identified in section 2 above. The Applicant shall follow the methodology set out in section 5 below when carrying out the assessment.
- (ii) A monitoring and audit programme for the operational phase of the Project shall be devised to verify the effectiveness of the proposed control measures so as to ensure proper control of operational air quality impacts.

5. Quantitative Assessment Methodology

- (i) The Applicant shall apply the general principles enunciated in the modelling

- guidelines in Appendix B-1 while making allowance for the specific characteristic of the Project.
- (ii) For the purpose of assessing the compliance with the criteria as stated in Annex 4 of the TM, the Applicant shall identify the key/representative air pollution parameters (types of pollutants and the averaging time concentrations) to be evaluated and provide explanation for selecting these parameters for assessing the impact of the Project.
 - (iii) Calculation of the relevant pollutant emission rates for input to the model and a map showing the emission sources shall be presented in the EIA report. A summary table of the emission rates shall be presented in the EIA report. The Applicant shall ensure consistency between the text description and the model files at every stage of submission for review.
 - (iv) The air pollution impacts of future road traffic shall be calculated based on the highest emission strength from the road within the next 15 years upon commencement of operation of the proposed road. The Applicant shall demonstrate that the selected year of assessment represents the highest emission scenario given the combination of vehicular emission factors and traffic flow for the selected year. The Applicant shall propose any Fleet Average Emission Factors used in the assessment. If necessary, the Fleet Average Emission Factors shall be determined by a motor vehicle emission model such as EMFAC-HK model and documented in the EIA report. The traffic flow data and assumptions, such as the exhaust technology fractions, vehicle age/population distribution, traffic forecast and speed fractions, that are used in the assessment shall be presented in the form of both summary table(s) and graph(s).
 - (v) For estimating the future background air quality, the Applicant may use EPD's PATH model or results, taking into consideration the major air pollutant emission sources projected for Hong Kong and nearby regions, or other models as agreed by the Director. Details of the adopted emission sources should be presented.
 - (vi) Ozone Limiting Method (OLM) or Discrete Parcel Method (DPM) or other appropriate method shall be used to estimate the conversion ratio of NO_x to NO₂ if NO₂ has been identified as a key/representative air pollutant.
 - (vii) The Applicant shall calculate the cumulative air quality impact at the identified ASRs and compare these results against the criteria set out in section 1 of Annex 4 in the TM. The predicted air quality impacts (both unmitigated and mitigated) shall be presented in the form of summary table(s) and pollution contours, to be evaluated against the relevant air quality standards and on any effect they may have on the land use implications. Plans of a suitable scale shall be used to present pollution contours to allow buffer distance requirements to be determined properly.
 - (viii) If vehicle tunnels and/or full enclosures are proposed in the Project, it is the responsibility of the Applicant to ensure that the air quality inside these proposed structures shall comply with EPD's "Practice Note on Control of Air Pollution in Vehicle Tunnels". When assessing air quality impact due to emissions from tunnels/full enclosures, the Applicant shall ensure prior agreement with the relevant ventilation design engineer over the amount and the types/kinds of pollutants emitted from these full enclosures; and such assumptions shall be clearly and properly

documented in the EIA report.

- (ix) If there are any direct technical noise remedies recommended in the study, the air quality implication due to these technical remedies shall be assessed. For instance, if barriers that may affect dispersion of air pollutants are proposed, then the implications of such remedies on air quality impact shall be assessed. If noise enclosure is proposed, then portal emissions of the enclosed road section and air quality inside the enclosed road section shall also be addressed. The Applicant shall highlight clearly the locations and types of agreed noise mitigating measures (where applicable), be they noise barriers, road enclosures and their portals, and affected ASR's, on contour maps for reference.

6. Mitigation Measures for Air Quality Impact

Consideration for Mitigation Measures

- (i) When the predicted air quality impact exceeds the criteria set in section 1 of Annex 4 in the TM, the Applicant shall consider mitigation measures to reduce the air quality impact on the identified ASRs. The feasibility, practicability, programming and effectiveness of the recommended mitigation measures shall be assessed and documented in the EIA report. Specific reasons for not adopting certain workable mitigation measures to reduce the air quality to a level meeting the criteria in the TM or to maximise the protection of the ASRs as far as possible should be clearly substantiated and documented in the EIA report.

Evaluation of Residual Air Quality Impact

- (ii) Upon consideration of mitigation measures, if the mitigated air quality impact still exceeds the relevant criteria in Annex 4 of the TM, the Applicant shall identify, predict, evaluate the residual air quality impact in accordance with Section 4.4.3 of the TM and estimate the total number of existing dwellings, classrooms and other air sensitive elements that will be exposed to residual air quality impacts exceeding the criteria set in Annex 4 in the TM.

7. Submission of Model Files

Input and output file(s) of model run(s) including those files for generating the pollution contours and emission calculations work sheets shall be submitted to the Director in electronic format together with the submission of the EIA report.

Appendix B-1**Air Quality Modelling Guidelines**

[The information contained in this Appendix is meant to assist the Applicant in performing the air quality assessment. The Applicant must exercise professional judgment in applying this general information for the Project.]

The air quality modelling guidelines shall include the following guidelines as published on the website of the Environmental Protection Department:

(http://www.epd.gov.hk/epd/english/environmentinhk/air/guide_ref/guide_aqa_model.html):

- i) Guidelines on Choice of Models and Model Parameters;
- ii) Guidelines on Assessing the 'Total' Air Quality Impact (Revised);
- iii) Guidelines on the Use of Alternative Computer Models in Air Quality Assessment (Revised);
- iv) Guidelines on the Estimation of PM_{2.5} for Air Quality Assessment in Hong Kong; and
- v) Guidelines on the Estimation of 10-minute Average SO₂ Concentration for Air Quality Assessment in Hong Kong.

Appendix C**Requirements for Noise Impact Assessment**

The noise impact assessment shall include the following:

1. Description of the Noise Environment

- 1.1 The Applicant shall describe the prevailing noise environment in the EIA report.
- 1.2 The Applicant shall conduct prevailing background noise surveys to determine the standards for evaluating noise impact from fixed noise source and marine traffic noise sources. The respective noise environment should be documented in the EIA report.

2. Construction Noise Impact Assessment**2.1 Construction Noise Impact Assessment Methodology**

- 2.1.1 The Applicant shall carry out construction noise impact assessment (excluding percussive piling) of the Project during daytime, i.e. 7am to 7pm, on weekdays other than general holidays in accordance with methodology in paragraphs 5.3 and 5.4 of Annex 13 of the TM.
- 2.1.2 For ground-borne construction noise impact, the Applicant shall propose assessment methodology and computational model which shall be confirmed with the Director, with reference to Section 4.4.2 of the TM, prior to the commencement of the assessment. Site measurements at appropriate locations may be required in order to obtain the empirical input parameters required in the computational model.

2.2 Identification of Construction Noise Impact**2.2.1 *Identification of Assessment Area and Noise Sensitive Receivers***

- (a) The Applicant shall propose the assessment area for agreement of the Director before commencing the assessment. The assessment area for the construction noise impact assessment shall generally include areas within 300 metres from the boundary of the Project and the works of the Project.
- (b) The Applicant shall identify all existing NSRs in the assessment area and select assessment points to represent identified NSRs for carrying out quantitative construction noise impact assessment described below.
- (c) The assessment points shall be confirmed with the Director prior to the commencement of the quantitative construction noise impact assessment and may be varied subject to the best and latest information available during the course of the EIA study.
- (d) A map showing the location and description such as name of building, use, and floor of each and every selected assessment point shall be given. Photographs of existing NSRs shall be appended to the EIA report.

2.2.2 *Inventory of Noise Sources*

The Applicant shall identify and quantify an inventory of noise sources for representative construction equipment for the purpose of construction noise impact assessment.

2.3 Prediction and Evaluation of Construction Noise Impact

2.3.1 *Phases of Construction*

The Applicant shall identify representative phases of construction that would have noticeable varying construction noise emissions at existing NSRs at the assessment area for agreement of the Director before commencing the construction noise impact assessment.

2.3.2 *Scenarios*

The Applicant shall quantitatively assess the construction noise impact, with respect to criteria set in Annex 5 of the TM, of unmitigated scenario and mitigated scenario at different phases of construction of the Project.

2.3.3 *Prediction of Noise Impact*

- (a) The Applicant shall present the predicted noise levels in Leq (30 min) dB(A) at the selected assessment points at various representative floor levels (in m P.D.) on tables and plans of suitable scale.
- (b) The assessment shall cover the cumulative construction noise impact resulting from the construction works of the Project and other concurrent projects identified during the course of the EIA study on existing NSRs within the assessment area.
- (c) The potential construction noise impact under different phases of construction shall be quantified by estimating the total number of dwellings, classrooms and other noise sensitive receivers that will be exposed to noise impact exceeding the criteria set in Annex 5 in the TM.
- (d) The Applicant shall, as far as practicable, formulate a reasonable construction programme so that no work will be required in restricted hours as defined under the Noise Control Ordinance (NCO). In case the Applicant needs to evaluate whether construction works in restricted hours as defined under the NCO are feasible or not in the context of programming construction works, reference should be made to relevant technical memoranda issued under the NCO. Regardless of the results of construction noise impact assessment for restricted hours, the Noise Control Authority will process Construction Noise Permit (CNP) application, if necessary, based on the NCO, the relevant technical memoranda issued under the NCO, and the contemporary conditions/situations. This aspect should be explicitly stated in the noise chapter and the conclusions and recommendations chapter in EIA report.

2.4 Mitigation of Construction Noise Impact

Direct Mitigation Measures

Where the predicted construction noise impact exceeds the criteria set in Table 1B of Annex 5, TM, the Applicant shall consider and evaluate direct mitigation measures including but not limited to, movable barriers, enclosures, quieter alternative methods, re-scheduling, restricting hours of operation of noisy tasks, etc. The feasibility, practicability, programming and effectiveness of the recommended mitigation measures shall be assessed. Any direct mitigation measures recommended should be well documented in the report. Specific reasons for not adopting certain direct mitigation measures to reduce the noise to a level meeting the criteria in the TM or to maximize the protection for the NSRs as far as possible should be clearly substantiated and documented in the EIA report.

2.5 Evaluation of Residual Construction Noise Impact

Upon exhaust of direct mitigation measures, if the mitigated noise impact still exceeds the relevant criteria in Annex 5 of TM, the Applicant shall identify, predict, evaluate the residual construction noise impact in accordance with Section 4.4.3 of the TM and estimate the total number of existing dwellings, classrooms and other noise sensitive elements that will be exposed to residual noise impact exceeding the criteria set in Annex 5 in the TM.

3. Road Traffic Noise Impact Assessment

3.1 Road Traffic Noise Impact Assessment Methodology

3.1.1 The Applicant shall carry out road traffic noise impact assessment in respect of each road section (within the meaning of Items A.1, A.7 and A.8 under Part I, Schedule 2 of the EIAO and other road sections) and the noise levels from combined road sections of the Project at the NSRs in accordance with methodology in paragraphs 5.1 of Annex 13 of the TM.

3.1.2 *Input Data of Computational Model*

The Applicant shall provide the input data set of the road traffic noise computational model adopted in the assessment for various scenarios. The data shall be in electronic text file (ASCII format) containing road segments, barriers and noise sensitive receivers information. CD-ROM(s) containing the above data shall be submitted together with the EIA report.

3.2 Identification of Road Traffic Noise Impact

3.2.1 *Identification of Assessment Area and Noise Sensitive Receivers*

- (a) The Applicant shall propose the assessment area for agreement of the Director before commencing the assessment. The assessment area for the road traffic noise impact shall generally include areas within 300 metres from the boundary of the Project and the works of the Project.
- (b) The Applicant shall identify all existing, committed and planned NSRs in the assessment area and select assessment points to represent identified NSRs for carrying out quantitative road traffic noise impact assessment described below.
- (c) The assessment points shall be confirmed with the Director prior to the commencement of the quantitative road traffic noise impact assessment and may be varied subject to the best and latest information available during the course of the EIA study.
- (d) A map showing the location and description such as name of building, use, and floor of each and every selected assessment point shall be given. Photographs of existing NSRs shall be appended to the EIA report.
- (e) For planned noise sensitive land uses without committed site layouts, the Applicant should use the relevant land use and planning parameters and conditions to work out representative site layouts for road traffic noise impact assessment purpose. However, such parameters and conditions together with any constraints identified shall be confirmed with the relevant responsible parties including Planning Department and Lands Department.

3.2.2 *Inventory of Noise Sources*

- (a) The Applicant shall analyse the scope of the proposed road alignment(s) to identify road sections for the purpose of road traffic noise impact assessment. Road sections to

be included in road traffic noise impact assessment shall be confirmed with the Director prior to the commencement of the assessment.

- (b) Validity of the traffic flow prediction of road sections for the purpose of road traffic noise impact assessment shall be confirmed with Transport Department and documented in the EIA report.

3.3 Prediction and Evaluation of Road Traffic Noise Impact

3.3.1 *Scenarios*

- (a) The Applicant shall quantitatively assess the road traffic noise impact of the Project, with respect to the criteria set in Annex 5, TM, of unmitigated scenario and mitigated scenario at assessment year. The assessment year shall be made reference to Section 5.1 in Annex 13 of the TM.
- (b) The Applicant shall provide the input data sets of traffic noise model prediction model adopted in the EIA study as requested by the Director for the following scenarios:
 - (i) unmitigated scenario at assessment year;
 - (ii) mitigated scenario at assessment year; and
 - (iii) prevailing scenario for indirect mitigated measures eligibility assessment.

3.3.2 *Prediction of Noise Impact*

- (a) The Applicant shall present the predicted noise levels in L10 (1 hour) dB(A) at the selected assessment points at various representative floor levels (in m P.D.) on tables and plans of suitable scale.
- (b) The assessment shall cover the cumulative road traffic noise impact resulting from the road traffic noise due to the Project and existing road network on existing, committed and planned NSRs within the assessment area.
- (c) The potential road traffic noise impact under different scenarios shall be quantified by estimating the total number of dwellings, classrooms and other noise sensitive receivers that will be exposed to noise impact exceeding the criteria set in Annex 5 in the TM.

3.4 Mitigation of Road Traffic Noise Impact

3.4.1 *Direct Mitigation Measures*

- (a) Where the predicted road traffic noise impact exceeds the criteria set in Annex 5, TM, the Applicant shall consider and evaluate direct mitigation measures including but not limited to noise barrier/enclosure, screening by noise tolerant buildings etc. The feasibility, practicability, programming and effectiveness of the recommended mitigation measures shall be assessed. Any direct mitigation measures recommended should be well documented in the report. Specific reasons for not adopting certain direct mitigation measures to reduce the noise to a level meeting the criteria in the TM or to maximize the protection for the NSRs as far as possible should be clearly quantified and documented in the EIA report.
- (b) The total number of noise sensitive receivers that will be benefited from and be protected by the provision of direct mitigation measures should be provided. The total number of other noise sensitive receivers that will still be exposed to noise above the criteria with the implementation of all recommended direct mitigation measures shall

be quantified.

- (c) For planned noise sensitive uses which will still be affected even with practicable direct mitigation measures in place, the Applicant shall propose, evaluate and confirm the practicability of additional direct mitigation measures within the planned noise sensitive uses and shall make recommendations on how these noise sensitive uses will be designed for the information of relevant parties.
- (d) The Applicant shall take into account agreed environmental requirements /constraints identified in the EIA study to assess the development potential of concerned sites which shall be made known to the relevant parties.

3.4.2 *Indirect Mitigation Measures*

- (a) Upon exhaust of direct mitigation measures, where the predicted road traffic noise impact still exceeds the criteria set in Table 1A of Annex 5, TM, the Applicant shall consider indirect mitigation measures in the form of window insulation and air-conditioning and evaluate in accordance with Section 6.2 in Annex 13 of TM.
- (b) The Applicant shall identify and estimate the total number of existing dwellings, classrooms and other noise sensitive elements which may qualify for indirect mitigation measures, the associated costs and any implications for such implementation.
- (c) For the purpose of determining eligibility of the affected premises for indirect mitigation measures, reference shall be made to methodology accepted by the recognized national/international organization or methodologies adopted for Hong Kong projects having similar issues on proposing an assessment methodology for determining eligibility of the indirect mitigation measures which shall be confirmed with the Director with reference to Section 4.4.2 of the TM, prior to the commencement of the assessment.

3.5 Evaluation of Residual Road Traffic Noise Impact

Upon exhaust of direct and indirect mitigation measures, if the mitigated noise impact still exceeds the relevant criteria in Annex 5 of TM, the Applicant shall identify, predict and evaluate the residual road traffic noise impact in accordance with Section 4.4.3 of the TM and Section 6.2 in Annex 13 of the TM.

4. **Fixed Noise Sources Impact Assessment**

4.1 Fixed Noise Sources Impact Assessment Methodology

The Applicant shall carry out fixed noise sources impact assessment from the Project in accordance with methodology in paragraph 5.2 of Annex 13 of the TM.

4.2 Identification of Fixed Noise Sources Impact

4.2.1 *Identification of Assessment Area and Noise Sensitive Receivers*

- (a) The Applicant shall propose the assessment area for agreement of the Director before commencing the assessment. The assessment area for the fixed noise impact shall generally include areas within 300 metres from the boundary of the Project and the works of the Project.

- (b) The Applicant shall identify all existing, committed and planned NSRs in the assessment area and select assessment points to represent identified NSRs for carrying out fixed noise sources impact assessment described below.
- (c) The assessment points shall be confirmed with the Director prior to the commencement of the quantitative fixed noise sources impact assessment and may be varied subject to the best and latest information available during the course of the EIA study.
- (d) A map showing the location and description such as name of building, use, and floor of each and every selected assessment point shall be given. Photographs of existing NSRs shall be appended to the EIA report.
- (e) For planned noise sensitive land uses without committed site layouts, the Applicant should use the relevant land use and planning parameters and conditions to work out representative site layouts for fixed noise sources assessment purpose. However, such parameters and conditions together with any constraints identified shall be confirmed with the relevant responsible parties including Planning Department and Lands Department.

4.2.2 *Inventory of Noise Sources*

- (a) The Applicant shall identify and quantify an inventory of noise sources for fixed noise sources impact assessment. The inventory of noise sources shall include, but not limited to noise associated with the possible theme park, any permanent and temporary industrial noise sources including ventilation system(s) of building(s) and/or tunnel(s), ventilation shafts of railway, sewage pumping station(s), seawater pumping station(s) and electricity substation(s), etc.
- (b) The Applicant shall provide document or certificate, accepted by recognized national/international organization, for the sound power level of each type of fixed noise sources.
- (c) Validity of the inventory shall be confirmed with the relevant government departments/authorities and documented in the EIA report.

4.3 Prediction and Evaluation of Fixed Noise Sources Impact

4.3.1 *Scenarios*

- (a) The Applicant shall quantitatively assess the fixed noise sources impact with respect to criteria set in Annex 5 of the TM, of unmitigated scenario and mitigated scenario at assessment years of various operation modes including, but not limited to,
 - (i) the worst operation mode which represents the maximum noise emission in connection of identified noise sources of the Project; and
 - (ii) any other operation modes as confirmed with the Director.
- (b) Validity of the above operational modes shall be confirmed with relevant departments/authorities and documented in the EIA report.

4.3.2 *Prediction of Noise Impact*

- (a) The Applicant shall present the predicted noise levels in Leq (30 min) at the selected assessment points at various representative floor levels (in m P.D.) on tables and plans of suitable scale.

- (b) The assessment shall cover the cumulative fixed noise sources impact associated with the operation of the proposed project on existing, committed and planned NSRs within the assessment area.
- (c) The potential fixed noise sources impact under different scenarios shall be quantified by estimating the total number of dwellings, classrooms and other noise sensitive receivers that will be exposed to noise impact exceeding the criteria set in Annex 5 in the TM.

4.4 Mitigation of Fixed Noise Sources Impact

Direct Mitigation Measures

Where the predicted fixed noise sources impact exceeds the criteria set in Table 1A of Annex 5, TM, the Applicant shall consider and evaluate direct mitigation measures including but not limited to noise barrier/enclosure, screening by noise tolerant buildings, etc. The feasibility, practicability, programming and effectiveness of the recommended mitigation measures shall be assessed. Any direct mitigation measures recommended should be well documented in the report. Specific reasons for not adopting certain direct mitigation measures to reduce the noise to a level meeting the criteria in the TM or to maximize the protection for the NSRs as far as possible should be clearly substantiated and documented in the EIA report.

4.5 Evaluation of Residual Fixed Noise Sources Impact

Upon exhaust of direct mitigation measures, if the mitigated noise impact still exceeds the relevant criteria in Annex 5 of TM, the Applicant shall identify, predict, evaluate the residual fixed noise sources impact in accordance with Section 4.4.3 of the TM and estimate the total number of existing dwellings, classrooms and other noise sensitive elements that will be exposed to residual noise impact exceeding the criteria set in Annex 5 in the TM.

5. Aircraft Noise Impact Assessment

5.1 Aircraft Noise Impact Assessment Methodology

The Applicant shall propose methodology for agreement of the Director, with reference to Section 4.4.2 of the TM, prior to the commencement of the assessment.

5.2 Identification of Aircraft Noise Impact

Identification of Assessment Area and Noise Sensitive Receivers

- (a) The Applicant shall propose the assessment area for agreement of the Director before commencing the assessment.
- (b) The Applicant shall identify all existing, committed and planned NSRs on the proposed Project in the assessment area for carrying out aircraft noise impact assessment described below.
- (c) The Applicant shall consider and evaluate the constraints imposed by aircraft noise impact from operation of the HKIA and the planned Expansion of HKIA into a Three-Runway System in deriving land uses within the proposed Project.
- (d) For planned noise sensitive land uses without committed site layouts, the Applicant

should use the relevant landuse and planning parameters and conditions to work out representative site layouts for aircraft noise assessment purpose. However, such parameters and conditions together with any constraints identified shall be confirmed with the relevant responsible parties including Planning Department and Lands Department.

5.3 Prediction and Evaluation of Aircraft Noise Impact

5.3.1 The Applicant shall assess the aircraft noise impact arising from on-going operation of the Hong Kong International Airport (HKIA) and potential aircraft noise impact arising from the planned expansion of the HKIA into a three-runway system on the proposed Project with respect to the criteria set in Annex 5 of the TM. The assessment shall be based on the best available Noise Exposure Forecast (NEF) contours of the HKIA at the time of the assessment. The Applicant shall consult Civil Aviation Department for the information regarding Noise Exposure Forecast contours of the HKIA. Validity of the information shall be confirmed with Civil Aviation Department and documented in the EIA report.

5.3.2 *Prediction of Noise Impact*

Where the predicted aircraft noise impact exceeds the criteria set in Annex 5 in the TM, the Applicant shall quantify the aircraft noise impact by estimating the total number of dwellings, classrooms and other noise sensitive receivers that will be exposed to noise impact exceeding the criteria and shall made an evaluation of the anticipated changes and effects of aircraft noise impact in accordance with Section 4.3.1 (c) of TM.

5.3.3 To determine the extent of the impact, the Applicant shall provide maps at an adequately detailed scale (not less than 1:5000) to show the NEF contours and the relevant NSRs.

5.4 Mitigation of Aircraft Noise Impact

5.4.1 *Direct Mitigation Measures*

- (a) The Applicant shall propose direct mitigation measures in all situations where the noise level exceedance are identified following the principle of section 6 of Annex 13 of the TM including but not limited to alternative land use arrangement. The feasibility, practicability, programming and effectiveness of the recommended mitigation measures shall be assessed. Any direct mitigation measures recommended should be well documented in the report. Specific reasons for not adopting certain direct mitigation measures to reduce the noise to a level meeting the criteria in the TM or to maximize the protection for the NSRs as far as possible should be clearly substantiated and documented in the EIA report.
- (b) The total number of noise sensitive receivers that will be benefited from and be protected by the provision of direct mitigation measures should be provided. The total number of other noise sensitive receivers that will still be exposed to noise above the criteria with the implementation of all recommended direct mitigation measures shall be quantified.

5.4.2 *Indirect Mitigation Measures*

- (a) Upon exhaust of direct mitigation measures, where the predicted aircraft noise impact still exceeds the criteria set in Annex 5 of the TM, the Applicant shall consider indirect mitigation measures in the form of window insulation and air-conditioning and evaluate in accordance with Section 6.2 in Annex 13 of the TM.

- (b) The Applicant shall identify and estimate the total number of existing dwellings, classrooms and other noise sensitive elements which may qualify for indirect mitigation measures, the associated costs and any implications for such implementation.

5.5 Evaluation of Residual Aircraft Noise Impact

Upon exhaust of direct and indirect mitigation measures, if the mitigated noise impact still exceeds the relevant criteria in Annex 5 of TM, the Applicant shall identify, predict and evaluate the residual aircraft noise impact in accordance with Section 4.4.3 of the TM.

6. **Rail Noise Assessment**

6.1 Rail Noise Impact Assessment Methodology

The Applicant shall propose methodology and computational model for agreement of the Director, with reference to Section 4.4.2 of the TM, prior to the commencement of the assessment.

6.2 Identification of Rail Noise Impact

6.2.1 *Identification of Assessment Area and Noise Sensitive Receivers*

- (a) The Applicant shall propose the assessment area for agreement of the Director before commencing the assessment. The assessment area for the rail noise impact shall generally include areas within 300 metres from the boundary of the Project and the works of the Project.
- (b) The Applicant shall identify all existing, committed and planned NSRs on the proposed Project in the assessment area and select assessment points to represent identified NSRs for carrying out rail noise impact assessment described below.
- (c) The assessment points shall be confirmed with the Director prior to the commencement of the quantitative rail noise impact assessment and may be varied subject to the best and latest information available during the course of the EIA study.
- (d) A map showing the location and description such as name of building, use, and floor of each and every selected assessment point shall be given. Photographs of existing NSRs shall be appended to the EIA report.
- (e) For planned noise sensitive land uses without committed site layouts, the Applicant should use the relevant landuse and planning parameters and conditions to work out representative site layouts for rail noise assessment purpose. However, such parameters and conditions together with any constraints identified shall be confirmed with the relevant responsible parties including Planning Department and Lands Department.

6.2.2 *Inventory of Noise Sources*

- (a) The Applicant shall identify and quantify an inventory of noise sources for rail noise impact assessment. The inventory of noise sources shall include, but not limited to, the existing and planned railways within assessment area.
- (b) The Applicant shall allow for deterioration in rail and rolling stock condition from brand new to an operating level in the prediction of noise impact.

- (c) Validity of the inventory shall be confirmed with the railway operator and documented in the EIA report.

6.3 Prediction and Evaluation of Rail Noise Impact

6.3.1 *Scenarios*

- (a) The Applicant shall quantitatively assess the rail noise impact, with respect to the criteria set in Annex 5 of the TM, of unmitigated scenario and mitigated scenario at assessment years of various operation modes including, but not limited to,
 - (i) the worst operation mode which represents the maximum noise emission in connection of identified railways taking into account any other planned noise sources; and
 - (ii) any other operation modes as confirmed with the Director.
- (b) Validity of the above operational modes shall be confirmed with the rail operator and documented in the EIA report.

6.3.2 *Prediction of Noise Impact*

- (a) The Applicant shall present the noise levels in Leq(30min) and Lmax during the day and at night at the NSRs at various representative floor levels (in mPD) on tables and plans of suitable scale.
- (b) The assessment shall cover the cumulative rail noise impact associated with the existing and planned railways on existing, committed and planned NSRs within the assessment area.
- (c) The potential rail noise impact under different scenarios and operation modes shall be quantified by estimating the total number of dwellings, classrooms and other noise sensitive receivers that will be exposed to noise impact exceeding the criteria set in Annex 5 in the TM.

6.4 Mitigation of Rail Noise Impact

Direct Mitigation Measures

Where the predicted rail noise impact exceeds the criteria set in Annex 5, TM, the Applicant shall consider and evaluate direct mitigation measures including but not limited to noise barrier/enclosure, screening by noise tolerant buildings, etc. The feasibility, practicability, programming and effectiveness of the recommended mitigation measures shall be assessed. Any direct mitigation measures recommended should be well documented in the report. Specific reasons for not adopting certain direct mitigation measures to reduce the noise to a level meeting the criteria in the TM or to maximize the protection for the NSRs as far as possible should be clearly substantiated and documented in the EIA report.

6.5 Evaluation of Residual Rail Noise Impact

Upon exhaust of direct mitigation measures, if the mitigated noise impact still exceeds the relevant criteria in Annex 5 of TM, the Applicant shall identify, predict, evaluate the residual rail noise impact in accordance with Section 4.4.3 of the TM and estimate the total number of existing dwellings, classrooms and other noise sensitive elements that will be exposed to residual noise impact exceeding the criteria set in Annex 5 in the TM.

7. Helicopter Noise Assessment

7.1 Helicopter Noise Impact Assessment Methodology

The Applicant shall propose methodology and computational model for agreement of the Director, with reference to Section 4.4.2 of the TM, prior to the commencement of the assessment.

7.2 Identification of Helicopter Noise Impact

7.2.1 *Identification of Assessment Area and Noise Sensitive Receivers*

- (a) The Applicant shall propose the assessment area for agreement of the Director before commencing the assessment. The assessment area for helicopter noise impact shall include area of existing, committed and planned NSRs on the proposed Project under or near to the flight tracks in vicinity of the existing and planned helicopter pad(s).
- (b) The Applicant shall identify all existing, committed and planned NSRs on the proposed Project in the assessment area.
- (c) For planned noise sensitive land uses without committed site layouts, the Applicant should use the relevant planning parameters to work out representative site layouts for helicopter noise assessment purpose. However, such assumptions together with any constraints identified shall be agreed by the relevant responsible parties including Planning Department and Lands Department.

7.2.2 *Inventory of Noise Sources*

- (a) The Applicant shall identify and quantify an inventory of noise sources for helicopter noise impact assessment. The inventory of noise sources shall include, but not limited to, helicopter noise characteristics (such as data representing noise emission and performance etc) for all potential helicopter operating at the existing and planned helicopter pad(s). The information of the helicopter noise characteristics shall be referred to a database accepted by recognized national/international organization, as agreed by the Director.
- (b) Validity of the above data shall be confirmed with relevant government departments/authorities and documented in the EIA report.

7.3 Prediction and Evaluation of Helicopter Noise Impact

7.3.1 *Scenarios*

- (a) The Applicant shall quantitatively assess the helicopter noise impact from the operation of the existing and planned helicopter pad(s) and related off site facilities during helicopters approaching and departure from the helicopter pad(s), with respect to the criteria set in Annex 5 of the TM, of unmitigated scenario and mitigated scenario at assessment years of various operation modes including, but not limited to,
 - (i) the worst operation mode which represents the maximum noise emission in connection of helicopter types, flight paths, flight frequency and flight hours, and;
 - (ii) any other operation modes as agreed by the Director.
- (b) Validity of the above operation modes shall be confirmed with relevant government departments/authorities and documented in the EIA report.

7.3.2 *Prediction of Noise Impact*

- (a) The Applicant shall present the predicted helicopter noise impact in contours, with

reference to criteria set in Annex 5 of the TM, including contours for each scenario assessed under various operation modes, on plans of suitable scale and documented in the EIA report. To determine the extent of the impact, the Applicant shall provide maps at an adequately detailed scale (not less than 1:5000) to show the contours.

- (b) The assessment shall cover the cumulative helicopter noise impact associated with the operation of the existing and planned helicopter pad(s) and related off site facilities on existing, committed and planned NSRs within assessment area.
- (c) The potential helicopter noise impact under different scenarios and operation modes shall be quantified by estimating the total number of dwellings, classrooms and other noise sensitive receivers that will be exposed to noise impact exceeding the criteria set in Annex 5 in the TM.

7.4 Mitigation of Helicopter Noise Impact

7.4.1 *Direct Mitigation Measures*

Where the predicted helicopter noise impact exceeds the criteria set in Annex 5, TM, the Applicant shall consider and evaluate direct mitigation measures including but not limited to noise barrier/enclosure, screening by noise tolerant buildings, etc. The feasibility, practicability, programming and effectiveness of the recommended mitigation measures shall be assessed. Any direct mitigation measures recommended should be well documented in the report. Specific reasons for not adopting certain direct mitigation measures to reduce the noise to a level meeting the criteria in the TM or to maximize the protection for the NSRs as far as possible should be clearly substantiated and documented in the EIA report.

7.5 Evaluation of Residual Helicopter Noise Impact

Upon exhaust of direct mitigation measures, if the mitigated noise impact still exceeds the relevant criteria in Annex 5 of TM, the Applicant shall identify, predict and evaluate the residual helicopter noise impact in accordance with Section 4.4.3 of the TM and estimate the total number of existing dwellings, classrooms and other noise sensitive elements that will be exposed to residual noise impact exceeding the criteria set in Annex 5 of the TM.

8. Marine Traffic Noise Impact Assessment

8.1 Marine Traffic Noise Impact Assessment Methodology

The Applicant shall propose methodology and computation model which shall be agreed with the Director, with reference to Section 4.4.2 of the TM, prior to the commencement of the assessment.

8.2 Identification of Marine Traffic Noise Impact

8.2.1 *Identification of Assessment Area and Noise Sensitive Receivers*

- (a) The Applicant shall propose the assessment area for agreement of the Director before commencing the assessment.
- (b) The Applicant shall identify all existing, committed and planned NSRs on the proposed Project in the assessment area and select assessment points to represent identified NSRs for carrying out marine traffic noise impact assessment described below.

- (c) In case the Applicant proposes ferry pier/berth in the Project, the Applicant shall also assess the marine traffic noise on existing, committed and planned NSRs outside the Project in the assessment area. The assessment points shall be confirmed with the Director prior to the commencement of the marine traffic noise impact assessment and may be varied subject to the best and latest information available during the course of the EIA study.
- (d) A map showing the location and description such as name of building, use, and floor of each and every selected assessment point shall be given. Photographs of existing NSRs shall be appended to the EIA report.
- (e) For planned noise sensitive land uses without committed site layouts, the Applicant should use the relevant land use and planning parameters and conditions to work out representative site layouts for marine traffic noise assessment purpose. However, such parameters and conditions together with any constraints identified shall be confirmed with the relevant responsible parties including Planning Department and Lands Department.

8.2.2 *Inventory of Noise Sources*

The Applicant shall identify and quantify any marine traffic noise sources including but not limited to noise from operation activities on the moored vessels; manoeuvring of vessels using existing pier etc within the assessment area.

8.3 Prediction and Evaluation of Marine Traffic Noise Impact

8.3.1 *Scenarios*

The Applicant shall assess the marine traffic noise impact, with respect to proposed criteria which the applicant shall submit for agreement with the Director (with reference to section 4.4.2(c) of the TM), of unmitigated scenario and mitigated scenario at assessment years of various operation modes including, but not limited to,

- (i) the worst operation mode which represents the maximum noise emission in connection of identified noise sources; and
- (ii) any other operation modes as confirmed with the Director.

8.3.2 *Prediction of Noise Impact*

- (a) The Applicant shall present the predicted noise levels at the selected assessment points at various representative floor levels (in m P.D.) on tables and plans of suitable scale.
- (b) The assessment shall cover the cumulative marine traffic noise impact associated with the operation of the proposed project on existing, committed and planned NSRs within the assessment area.
- (c) The potential marine traffic noise impact under different scenarios shall be quantified by estimating the total number of dwellings, classrooms and other noise sensitive receivers that will be exposed to noise impact exceeding the adopted criteria.

8.4 Mitigation of Marine Traffic Noise Impact

Direct Mitigation Measures

Where the predicted marine traffic noise impact exceeds the proposed criteria, the Applicant shall consider and evaluate direct mitigation measures including but not limited to noise barrier/enclosure, screening by noise tolerant buildings etc. The feasibility, practicability, programming and effectiveness of the recommended mitigation measures shall be assessed.

Any direct mitigation measures recommended should be well documented in the report. Specific reasons for not adopting certain direct mitigation measures to reduce the noise to a level meeting the proposed criteria should be clearly substantiated and documented in the EIA report.

8.5 Evaluation of Residual Marine Traffic Noise Impact

Upon exhaust of direct mitigation measures, if the mitigated noise impact still exceeds the adopted criteria, the Applicant shall identify, predict, evaluate the residual marine traffic noise impact in accordance with Section 4.4.3 of the TM and estimate the total number of existing dwellings, classrooms and other noise sensitive elements that will be exposed to residual noise impact exceeding the adopted criteria.

Appendix D**Requirements for Water Quality Impact Assessment**

1. The Applicant shall identify and analyse physical, chemical and biological disruptions of the water system(s) arising from the construction and operation of the Project.
2. The Applicant shall predict, quantify and assess any water quality impacts arising from the construction and operation of the Project by appropriate mathematical modelling and/or other techniques proposed by the Applicant and approved by the Director. The mathematical modelling requirements are set out in Appendix D-1. Possible impacts due to the dredging, fill extraction, backfilling, transportation and disposal of dredged materials, other marine works activities, effluent discharge, thermal/cooling water and biocide discharge, overflow of sewage pumping stations and site runoff shall include changes in hydrology, flow regime, sediment erosion and deposition patterns, morphological change of seabed profile, shoreline change, water and sediment quality, marine and freshwater organisms/community. The prediction shall include possible different construction stages or sequences of the Project. Affected sensitive receivers shall be identified by the assessment tool with indications of degree of severity.
3. The assessment shall include, but not limited to the following:
 - (i) the water quality impacts of the site run-off generated during the construction stage such as the effluents generated from dewatering associated with piling activities, grouting and concrete washing and those specified in the ProPECC Practice Note 1/94;
 - (ii) the water quality impacts of the road runoff containing oil/grease and suspended solids during the operational stage; and
 - (iii) the water quality impacts on beaches, seawater intake points, river courses, drainages and other water sensitive receivers around the work sites.
4. The Applicant shall address water quality impacts due to the construction phase and operational phase of the Project. Essentially, the assessment shall address the following :
 - (i) collect and review background information on affected existing and planned water systems, their respective catchments and sensitive receivers (e.g. proposed Marine Park at the Brothers, Tung Chung Bay, Tung Chung Stream and Tung Chung East waters) which might be affected by the Project;
 - (ii) characterize water quality of the water systems and sensitive receivers, which might be affected by the Project based on existing best available information or through appropriate site survey and tests;
 - (iii) identify and analyse relevant existing and planned future activities, beneficial uses and water sensitive receivers related to the affected water system(s). The Applicant should refer to, inter alia, those developments and uses earmarked on the relevant Outline Zoning Plans, Development Permission Area Plans, Outline Development Plans and Layout Plans, and any other relevant published landuse plans;

- (iv) identify pertinent water quality objectives and establish other appropriate water quality criteria or standards for the water system(s) and the sensitive receivers identified in (i), (ii) & (iii) above;
- (v) review the specific construction methods and configurations, and operation of the Project to identify and predict the likely water quality impacts arising from the Project;
- (vi) identify any alteration of any water courses, natural streams, ponds, wetlands, change of water holding/flow regimes of water bodies, change of catchment types or areas, erosion or sedimentation due to the Project and any other hydrological changes in the study area;
- (vii) identify and quantify existing and likely future water pollution sources, including point discharges and non-point sources to surface water runoff, sewage from workforce and polluted discharge generated from the Project, contaminant release from works on marine sediment and sediment release or re-suspension from works into water bodies;
- (viii) provide an emission inventory on the quantities and characteristics of those existing and future pollution sources in the study area. Field investigation and laboratory test, shall be conducted as appropriate to fill relevant information gaps;
- (ix) assess the adequacy of the existing sewerage and sewage treatment facilities for the handling, treatment and disposal of wastewater arising from the Project as required in section 3.4.4. The water quality impacts should be assessed if any upgrading or expansion of the existing system is found necessary;
- (x) identify and quantify the water quality impacts based on the findings and recommendations from the Sewerage and Sewage Treatment Implications Assessment under section 3.4.4. The water quality concerns shall include, but not limited to, possible sewage overflow or emergency discharge due to capacity constraints of the sewerage system, and emergencies arising from the Project;
- (xi) predict and quantify the impacts on the water system(s) and their sensitive receivers due to the alterations, changes and the pollution sources identified above. Possible impacts include change in hydrology, flow regime, water quality and release of contaminants during dredging and other marine works, etc. Water quality impacts due to periodical maintenance dredging of navigation channels in the vicinity of the Project should also be assessed. The prediction shall take into account and include possible different construction and operation stages of the Project;
- (xii) assess the cumulative impacts due to other related concurrent and planned projects, activities or pollution sources within the study area that may have a bearing on the environmental acceptability of the Project;
- (xiii) analyze the provision and adequacy of existing and planned future facilities to reduce pollution arising from the point and non-point sources identified in (vii) above;
- (xiv) develop effective infrastructure upgrading or provision, contingency plan, water pollution prevention and mitigation measures to be implemented during construction

and operation stages, including emergency sewage discharge, so as to reduce the water quality impacts to within standards. Requirements to be incorporated in the project contract document shall also be proposed;

- (xv) investigate, develop and design best management practices and propose proper maintenance procedures to reduce storm water/surface runoff and non-point source pollution as appropriate; and
- (xvi) evaluate and quantify residual impacts on water system(s) and the sensitive receivers with regard to the appropriate water quality objectives, criteria, standards or guidelines. If the mitigated water quality impact still exceeds the relevant criteria in Annex 6 of TM, the Applicant shall identify, predict and evaluate the residual water quality impact in accordance with Section 4.4.3 of the TM and estimate the significance of the residual impact to the water system(s) and the water sensitive receivers.

5. The Applicant shall address and assess water quality impacts arising from the following concerns :

Waste Water and Non-point Sources Pollution

- (i) Proposal for upgrading or providing any effective infrastructure, water pollution prevention and mitigation measures to be implemented during the construction and operation stages so as to handle any wastewater generated and to reduce the water and sediment quality impacts to within standards. Requirements to be incorporated in the Project contract document shall also be proposed;
- (ii) Investigation of and proposal for, as appropriate, best management practices to reduce storm water and non-point source pollution; and
- (iii) Evaluation and quantification of residual impacts on the water systems(s) and the sensitive receivers with regard to appropriate water quality objectives, criteria, standards or guidelines. If the mitigated water quality impact still exceeds the relevant criteria in Annex 6 of TM, the Applicant shall identify, predict and evaluate the residual water quality impact in accordance with Section 4.4.3 of the TM and estimate the significance of the residual impact to the water system(s) and the water sensitive receivers.

Appendix D-1**Hydrodynamic and Water Quality Modelling Requirements****Modelling Software General**

1. The modelling software shall be fully 3-dimensional capable of accurately simulating the stratified condition, salinity transport, and effects of wind and tide on the water body within the model area.
2. The modelling software shall consist of hydrodynamic, water quality, sediment transport, thermal and particle dispersion modules. All modules shall have been proven with successful applications locally and overseas.
3. The hydrodynamic, water quality, sediment transport and thermal modules shall be strictly mass conserved at all levels.
4. An initial dilution model shall be used to characterize the initial mixing of the effluent discharge, and to feed the terminal level and size of the plume into the far field water quality modules where necessary. The initial dilution model shall have been proven with successful applications locally and overseas.

Model Details – Calibration and Validation

1. The models shall be properly calibrated and validated against applicable existing and/or newly collected field data before their use in this study in the Hong Kong waters, the Pearl Estuary and the Dangan (Lema) Channel. The field data set for calibration and validation shall be agreed with EPD.
2. Tidal data shall be calibrated and validated in both frequency and time domain manner.
3. For the purpose of calibration and validation, the model shall run for not less than 15 days of real sequence of tide (excluding model spin up) in both dry and wet seasons with due consideration of the time required to establish initial conditions.
4. In general the hydrodynamic models shall be calibrated to the following criteria:

<u>Criteria</u>	<u>Level of fitness with field data</u>
• tidal elevation (@)	< 8 %
• maximum phase error at high water and low water	< 20 minutes
• maximum current speed deviation	< 30 %
• maximum phase error at peak speed	< 20 minutes
• maximum direction error at peak speed	< 15 degrees
• maximum salinity deviation	< 2.5 ppt
@ Root mean square of the error including the mean and fluctuating components shall meet the criteria at no less than 80% of the monitoring stations in the model domain	

5. The consultants shall be responsible for acquiring/developing and calibration of the models for use in this study themselves. They may make reference to the models developed under the Update on Cumulative Water Quality and Hydrological Effect of Coastal Developments and Upgrading of Assessment Tool (Agreement No. CE 42/97). They may also propose to use other models subject to agreement with EPD.

Model Details – Simulation

1. The water quality modelling results shall be qualitatively explainable, and any identifiable trend and variations in water quality shall be reproduced by the model. The water quality model shall be able to simulate and take account of the interaction of dissolved oxygen, phytoplankton, organic and inorganic nitrogen, phosphorus, silicate, BOD, temperature, suspended solids, contaminants release of dredged and disposed material, air-water exchange, *E. coli* and benthic processes. It shall also simulate salinity. Salinity results simulated by hydrodynamic models and water quality models shall be demonstrated to be consistent.
2. The sediment transport module for assessing impacts of sediment loss due to marine works shall include the processes of settling, deposition and re-erosion. The values of the modelling parameters shall be agreed with EPD. Contaminants release and DO depletion during dredging and dumping shall be simulated by the model.
3. The thermal model shall be based on the flow field produced by the hydrodynamic model. It shall incorporate the physical processes of thermal / cooled water discharge and abstraction flow, buoyancy effect of the thermal plume, and surface heat exchange. Dispersion of biocides in the discharge shall also be simulated with appropriate decay rates.
4. The models shall at least cover the Hong Kong waters, the Pearl Estuary and the Dangan Channel to incorporate all major influences on hydrodynamic and water quality. A fine grid model may be used for detailed assessment of this study. It shall either be linked to a far field model or form part of a larger model by gradual grid refinement. The coverage of the fine grid model shall be properly designed such that it is remote enough so that the boundary conditions will not be affected by the project. The model coverage area shall be agreed with EPD.
5. In general, grid size at the area affected by the project shall be less than 400 m in open waters and less than 75 m around sensitive receivers. The grid shall also be able to reasonably represent coastal features existing and proposed in the project. The grid schematization shall be agreed with EPD.

Modelling Assessment

1. The assessment shall include the construction and operational phase of the project. Where appropriate, the assessment shall also include maintenance dredging. Scenarios to be assessed shall cover the baseline condition and scenarios with various different options proposed by the Applicant in order to quantify the environmental impacts and improvements that will be brought about by these options. Corresponding pollution load, bathymetry and coastline shall be adopted in the model set up.
2. Hydrodynamic, sediment transport and thermal modules, where appropriate, shall be run for (with proper model spin up) at least a real sequence of 15 days spring-neap tidal cycle in both the dry season and the wet season.
3. Water quality module shall run for (with proper model spin up) a complete year incorporating monthly variations in Pearl River discharges, solar radiation, water temperature and wind velocity in the operational stage. Construction stage impacts, cooling water discharge and floating refuse and debris entrapment may be assessed by simulating typical spring-neap cycles in the dry and wet seasons.

4. For assessing temporary discharges via the emergency outfall, the Applicant shall estimate discharge loading, pattern and duration. The worst case scenario shall include discharge near slack water of neap tide. A period of at least 15 days spring-neap cycle in wet season, but long enough for recovery of the receiving water, shall be simulated. Detailed methodology shall be agreed with EPD.
5. The results shall be assessed for compliance of Water Quality Objectives. Any changes in hydrodynamic regime shall be assessed. Daily erosion / sedimentation rate shall be computed and its ecological impact shall be assessed.
6. The impact on all sensitive receivers shall be assessed.
7. Cumulative impacts due to other projects, activities or pollution sources within a boundary to the agreement of EPD shall also be predicted and quantified.

Appendix E**Requirements for Assessment of Sewerage and Sewage Treatment Implications**

1. The Applicant shall study and assess the impacts of discharging sewage to the existing/planned sewerage systems in North Lantau. The assessment shall include the following:
 - (i) investigate and review to establish whether there is adequate capacity in the existing, committed and planned sewerage systems, and sewage treatment works in North Lantau for the Project, taking into account the sewage arising from the existing sources, and committed and planned developments within the sewage catchment. The Applicant shall estimate the sewage arising from the residential and non-residential discharges, with flow build-up, within the catchment up to an ultimate development year agreed by the Authority. The Applicant shall quantitatively address the impacts of the Maximum Development Flows on the sewerage system under different development phases. The appropriate treatment level of effluent discharge and the water quality impacts arising from the effluent discharge shall be assessed;
 - (ii) employ the latest version of the computer model “InfoWorks” or equivalent computer model to be agreed by the Director to assess impacts of future development under different phases on the existing and planned sewerage networks in North Lantau ;
 - (iii) propose and undertake all required measures to mitigate any forecast shortfalls in the sewerage system as a result of the Project under different development phases and demonstrate the proposed measures would be adequate for the Maximum Development Flows under different development phases. Any proposed sewerage system and/or on-site sewage treatment facility should be designed to meet standards and requirements agreed by DSD and EPD;
 - (iv) identify and quantify the water quality and ecological impacts due to the emergency discharge from on-site sewage treatment plant/pumping stations, if any, and sewer bursting discharge, and to propose adequate measures to mitigate these impacts;
 - (v) identify the appropriate alignment and layouts of the new sewerage to connect to the existing/planned/future sewerage systems in North Lantau, and investigate and assess the technical feasibility of connection (e.g. technical feasibility and details for connection to public sewer and sewage pumping station);
 - (vi) set out the design, operation and maintenance requirements and undertake or obtain agreement to undertake the construction and maintenance of any proposed sewerage and sewage treatment facilities, such as pumping station and sewage treatment plant, including electrical and mechanical components to actively monitor, manage and eliminate the problem of septicity incurred in rising mains during low flows and to facilitate maintenance. The above shall be agreed by DSD and EPD. (Twin rising mains for each pumping station should be provided to make sure that the proposed sewage rising mains are maintainable without shutting down and discharging untreated sewage into the natural stream/ drainage channel directly); and
 - (vii) arrange for the timely implementation and commissioning of the mitigation measures. Demonstrate the acceptability of the residual impacts, if any.

Appendix F**Requirements for Assessment of Waste Management Implications**

The assessment of waste management implications shall cover the following:

1. Analysis of Activities and Waste Generation

- (i) The Applicant shall identify the quantity, quality and timing of the wastes arising as a result of the construction and operation activities of the Project based on the sequence and duration of these activities, e.g. any dredged/excavated sediment/mud, construction and demolition (C&D) materials, floating refuse and other wastes which will be generated during construction and/or operational stages.
- (ii) The Applicant shall adopt appropriate design, general layout, construction methods and programme to minimise the generation of public fill/inert C&D materials and maximise the use of public fill/inert C&D materials for other construction works.

2. Proposal for Waste Management

- (i) Prior to considering the disposal options for various types of wastes, opportunities for reducing waste generation, on-site or off-site re-use and recycling shall be fully evaluated. Measures that can be taken in the planning and design stages e.g. by modifying the design approach and in the construction stage for maximising waste reduction shall be separately considered.

The Applicant shall consider alternative project designs/measures to avoid/minimize floating refuse accumulation/entrapment and measures/proposals for the potential floating refuse problem, e.g. streamlining the shoreline design; measures to improve the tidal flushing capacity; alternative seawall design to facilitate floating refuse collection; and regular collection of the floating refuse along the shoreline. Regarding the potential trapping of floating refuse along the shoreline of the Project, the Applicant shall estimate as far as practicable the amount of floating refuse to be found/trapped along the shoreline of the Project in construction stage and after the completion of the Project. The Applicant shall develop an effective plan/design to avoid/minimize the trapping of floating refuse. If floating refuse is identified and needs to be dealt with, the Applicant shall propose appropriate measures to deal with this floating refuse in a proper and acceptable manner e.g. to collect, recycle, reuse, store, transport and dispose of.

- (ii) After considering the opportunities for reducing waste generation and maximising re-use, the types and quantities of wastes required to be disposed of as a consequence shall be estimated and the disposal methods/options for each type of wastes shall be described in detail. The disposal methods/options recommended for each type of wastes shall take into account the result of the assessment in (iv) below.
- (iii) The EIA report shall also state clearly the transportation routings and the frequency of the trucks/vessels involved, any barging point or conveyor system to be used, the stockpiling areas and the disposal outlets for the wastes identified; and
- (iv) The impact caused by handling (including stockpiling, labelling, packaging and storage), collection, transportation and re-use/disposal of wastes shall be addressed

in detail and appropriate mitigation measures shall be proposed. This assessment shall cover the following areas:

- potential hazard;
- air and odour emissions;
- noise;
- wastewater discharge; and
- public transport.

- (v) In addition to the above, the EIA report shall also identify practicable means of avoiding illegal dumping and landfilling on farmlands and riverbanks.

3. Dredging/Excavation and Dumping

- (i) The Applicant shall identify and quantify all dredging/ excavation, dredged/excavated sediment/mud transportation and disposal activities and requirements. Potential dumping ground to be involved shall also be identified. Appropriate field investigation, sampling and chemical and biological laboratory tests to characterise the sediment/mud concerned shall be conducted. The ranges of parameters to be analysed; the number, type and methods of sampling; sample preservation; chemical and biological laboratory test methods to be used shall be agreed with the Director (with reference to section 4.4.2(c) of the TM) prior to the commencement of the tests and document in the EIA report for consideration. The categories of sediment/mud which are to be disposed of in accordance with a permit granted under the Dumping at Sea Ordinance (DASO) shall be identified by both chemical and biological tests and their quantities shall be estimated. If the presence of any serious contamination of sediment/mud which requires special treatment/disposal is confirmed, the Applicant shall identify the most appropriate treatment and/or disposal arrangement and demonstrate its feasibility. The Applicant shall provide supporting documents, such as agreement by the relevant facilities management authorities, to demonstrate the viability of any treatment/disposal plan.
- (ii) The Applicant shall identify and evaluate the best practical dredging/excavation methods to minimise dredging/excavation and dumping requirements based on the criterion that existing sediment/mud shall be left in place and not to be disturbed as far as possible.

Appendix G**Requirements for Land Contamination Assessment**

1. If any contaminated land uses as stated in sections 3.1 and 3.2 of Annex 19 of the TM is identified, the Applicant shall carry out the land contamination assessment as detailed below and propose measures to avoid disposal:
 - (i) The Applicant shall follow the guidelines for evaluating and assessing potential land contamination issues as stated in sections 3.1 and 3.2 of Annex 19 of the TM.
 - (ii) The Applicant shall identify the potential land contamination site(s) within the entire Study Area (Appendix A refers) and, if any, within the boundaries of all associated areas (e.g. work areas) of the Project.
 - (iii) The Applicant shall provide a clear and detailed account of the present land uses (including description of the activities, chemicals and hazardous substances handled, with clear indication of their storage and location, by reference to a site layout plan) and a complete past land use history, in chronological order, in relation to possible land contamination (including accident records and change of land use(s) and the like).
 - (iv) During the course of the EIA study, the Applicant shall submit a Contamination Assessment Plan (CAP) to the Director for endorsement prior to conducting an actual contamination impact assessment of the land or site(s). The CAP shall include proposal with details on representative sampling and analysis required to determine the nature and the extent of the contamination of the land or site(s). Alternatively, the Applicant may refer to other previously agreed and still relevant and valid CAP(s) for the concerned site(s).
 - (v) Based on the endorsed CAP, the Applicant shall conduct a land contamination impact assessment and submit a Contamination Assessment Report (CAR) to the Director for endorsement. If land contamination is confirmed, a Remediation Action Plan (RAP) to formulate viable remedial measures with supporting documents, such as agreement by the relevant facilities management authorities, shall be submitted to the Director for approval. The Applicant shall then clean up the contaminated land or site(s) according to the approved RAP, and a Remediation Report (RR) to demonstrate adequate clean-up should be prepared and submitted to the Director for endorsement prior to the commencement of any development or redevelopment works within the Study Area. The CAP, CAR and RAP shall be documented in the EIA report.
 - (vi) If there are potential contaminated sites which are inaccessible for conducting sampling and analysis during the course of the EIA study, e.g. due to site access problem, the Applicant's CAP shall include:
 - (a) a review of the available and relevant information;
 - (b) an initial contamination evaluation of these sites and possible remediation methods;
 - (c) a confirmation of whether the contamination problem at these sites would be

surmountable;

- (d) a sampling and analysis proposal which shall aim at determining the nature and the extent of the contamination of these sites; and
- (e) where appropriate, a schedule of submission of revised or supplementary CAP, CAR, RAP and RR as soon as these sites become accessible.

Appendix H**Requirements for Ecological Impact Assessment (Terrestrial and Marine)**

The ecological impact assessment shall include the following:

1. The Applicant shall examine the flora, fauna and other components of the ecological habitats within the assessment area. The aim shall be to protect, maintain or rehabilitate the natural environment. In particular, the Project shall avoid or minimise impacts on recognised sites of conservation importance [including but not limited to San Tau Beach SSSI, Tai Ho Stream Site of Special Scientific Interest, Tung Chung Ecologically Important Stream (EIS), Wong Lung Hang EIS, the Lantau (North) Extension Country Park and the proposed Marine Park at The Brothers] and other ecologically sensitive areas (including but not limited to woodlands, natural streams, marshes, mudflats, seagrass beds, horseshoe crabs breeding and nursery grounds). The assessment shall identify and quantify as far as possible the potential ecological impacts associated with the Project, both directly by physical disturbance and indirectly by change of water quality and hydrodynamic regime to important habitats and the associated wildlife groups/species.
2. The assessment shall include the following major tasks:
 - (i) review the findings of relevant studies/surveys and collate the available information regarding the ecological characters of the assessment area, in particular the Tung Chung Stream Valley and information on Chinese White Dolphins such as their occurrence, distribution, abundance and the detected declining trends in dolphin abundance in the past decade;
 - (ii) evaluate the information collected, identify any information gap relating to the assessment of potential ecological impact, and determine the ecological field surveys and investigations that are needed for an impact assessment as required in the following sections;
 - (iii) carry out necessary ecological field surveys with a duration of at least nine months, and investigation to verify the information collected, fill the information gaps as identified in (ii) above, and to fulfill the objectives of the EIA study. The field surveys shall cover but not be limited to flora, fauna and any other habitats/species of conservation importance, and shall include subtidal and intertidal survey, benthic community survey, and underwater dive survey for coral communities;
 - (iv) establish the ecological profile of the assessment area based on information collected in the tasks mentioned in sub-section (i) to (iii) above, and describe the characteristics of each habitat found. The data set should be comprehensive and representative covering the variations of the wet and dry seasons, and is up to date and valid for the purpose of this assessment. Major information to be provided shall include:
 - (a) description of the physical environment, including all recognized sites of conservation importance and ecologically sensitive areas;
 - (b) habitats maps of suitable scale (1:1000 to 1:5000) showing the types and locations of habitats and species of conservation interest in the assessment area;

- (c) ecological characteristics of each habitat type such as size, vegetation and/or substrate type, species present, dominant species found, species richness and abundance of major taxa groups, community structure, seasonal patterns, ecological value, inter-dependence of the habitats and species, and presence of any features of ecological importance;
- (d) representative colour photographs of each habitat type and any important ecological features identified;
- (e) species found that are rare, endangered and/or listed under local legislation, international conventions for conservation of wildlife/habitats or Red Data Books;
- (v) investigate and describe the existing wildlife uses of various habitats with special attention to those wildlife groups and habitats with conservation interest, including but not limited to the following:
 - woodlands;
 - Tung Chung EIS, Wong Lung Hang EIS, Tai Ho Stream SSSI and any other natural stream courses (including their estuaries and tributaries);
 - coastal/marine waters;
 - intertidal shores/ subtidal shores/ coral communities;
 - mangroves, mudflats and seagrass beds at Tung Chung Bay, San Tau Beach SSSI and Tai Ho Wan;
 - wetlands (including freshwater and brackish-water marshes);
 - benthic communities;
 - breeding and nursery grounds for horseshoe crabs (e.g. *Tachypleus tridentatus* and *Carcinoscorpius rotundicauda*);
 - Chinese White Dolphins (including both day-time and night-time activities);
 - vertebrates, including avifauna (e.g. *Egretta eulophotes*), mammals and herpetofauna (e.g. *Liuixalus romeri*, *Paramesotriton hongkongensis*, *Amolops ricketti* and *Xenophrys brachykolos*);
 - stream/estuarine fauna, in particular fish species of conservation interest at Tung Chung EIS, Tung Chung Bay, Wong Lung Hang EIS and Tai Ho Stream SSSI (e.g. *Acrossocheilus beijiangensis*, *Anguilla marmorata*, *Anguilla japonica*, *Butis butis*, *Syngnathoides biaculeatus*, *S. schlegeli*, *Plecoglossus altivelis* and *Glossogobius olivaceus*);
 - macroinvertebrates, including butterflies (e.g. *Troides helena*, *Troides aecus*, *Aeromachus jhora*, *Leptotes plinius*, *Tajuria cippus*) and odonates; and
 - any other habitats and wildlife groups identified as having special conservation interest by the EIA study.
- (vi) describe recognised sites of conservation importance within and in the vicinity of the assessment area, including the San Tau Beach SSSI, Tai Ho Stream SSSI, Pok To Yan and Por Kai Shan SSSI, Tung Chung EIS, Wong Lung Hang EIS, the existing Sha Chau and Lung Kwu Chau Marine Park and the proposed Marine Park at The Brothers, Southwest Lantau and the Sokos, and assess whether these sites will be affected by the Project;
- (vii) using suitable methodologies (including but not limited to those adopted in other relevant EIA studies in Hong Kong), and considering also any works activities from other projects reasonably likely to occur at the time, identify and quantify as far as possible any direct (e.g. loss of habitats due to various elements such as reclamation

and other associated works of the Project), indirect (e.g. changes in water qualities, hydrodynamics properties, hydrology, noise and other disturbance generated by the construction and operational activities etc), on-site, off-site, primary, secondary and cumulative ecological impacts on the wildlife groups and habitats identified such as direct loss of habitats, potential diversion or modification of stream courses, disturbance to wildlife, destruction of habitats, reduction of species abundance/diversity, loss of feeding and breeding grounds, reduction of ecological carrying capacity and habitat fragmentation, in particular the following:

- (a) habitat loss and disturbance to the Tung Chung Stream Valley which encompasses diverse habitat types and supports diverse community of fauna and flora;
- (b) habitat loss and disturbance to the benthic communities, subtidal and intertidal habitats especially the mudflats, seagrass beds and horseshoe crabs breeding and nursery grounds within Tung Chung Bay, Tung Chung Stream, San Tau Beach SSSI and Tai Ho Wan due to reclamation, possible dredging operation, construction of seawall, sewage facilities and associated outfalls, river park, as well as dechannelisation works, etc.;
- (c) impacts to subtidal and intertidal organisms especially horseshoe crabs, seagrasses, corals and stream/estuarine fauna of conservation interest during construction and operation phases due to potential changes in water quality (e.g. changes in suspended solid level, dissolved oxygen and nutrients), hydrodynamics properties and sedimentation rates;
- (d) risk of bioaccumulation of toxic contaminants released from the disturbed or dredged sediment, oil and chemical spillage from vessel/vehicle accidents to marine organisms especially Chinese White Dolphins;
- (e) impacts to Chinese White Dolphins due to reclamation, in particular the direct and permanent loss of dolphin habitat and reduction in ecological carrying capacity for dolphins;
- (f) impacts to Chinese White Dolphins associated with possible changes in marine traffic volume during construction and operation phases (especially those associated with the marina), in particular behavioral changes and increased risk of vessel collision to dolphins inhabiting the proposed Marine Park at The Brothers;
- (g) disturbance to Chinese White Dolphins associated with underwater noise, including pilling, noise generated from additional work barges and vessels during the construction phase, and any long term increase in underwater noise disturbance caused by the possible changes in marine traffic volume during the operation phase;
- (h) impacts to Chinese White Dolphins (and also Indo-Pacific Finless Porpoises depending on the locations of fill source and disposal sites) associated with dredging, fill extraction, filling, transportation and disposal of dredged sediments within Hong Kong
- (i) impacts to the proposed Marine Park at The Brothers which is regarded as a core area for Chinese White Dolphins in Hong Kong, especially impacts of changes in water quality/hydrodynamics properties, changes in marine traffic volume and increase in underwater noise disturbance during construction and operation phases;
- (j) impacts of increase in marine traffic and underwater noise (especially those associated with the marina), reclamation and changes in water quality/hydrodynamics properties resulted from the Project on the functionality of the proposed Marine Park at The Brothers as the major dolphin protected

- area;
- (k) impacts from drainage system including construction and operation of polders, dual-purpose flood attenuation and stormwater treatment ponds, and village sewerage system, if any, on the ecology of Tung Chung Stream, e.g. habitat fragmentation and water pollution;
 - (l) impacts from proposed access roads/haul roads, if any; and
 - (m) cumulative impacts due to other planned and committed concurrent developments projects (e.g. Expansion of Hong Kong International Airport into a Three-Runway System, Hong Kong-Zhuhai-Macao Bridge – Hong Kong Boundary Crossing Facilities & Hong Kong Link Road, Tuen Mun – Chek Lap Kok Link, Lantau Logistic Park, Sediment Disposal Facility in South of Brothers , Three Potential Nearshore Reclamation Sites in the Western Waters of Hong Kong)at or near the Project area. For cumulative impacts related to Chinese White Dolphin, the assessment should take into account existing threats to them in North Lantau Waters and the issues to be assessed shall be agreed with the Director.
- (viii) evaluate ecological impact based on the best and latest information available during the course of the EIA study, using quantitative approach as far as practicable and covering construction and operational phases of the Project;
- (ix) recommend possible and practicable mitigation measures such as alternative design and configuration of the Project, designation of exclusion areas and low speed zones for marine vessels and modification/change of construction methods to avoid, minimise and/or compensate for the adverse ecological impacts identified during construction and operation of the Project, including but not limited to:
- (a) adopting a development option that requires no reclamation in Tung Chung Bay;
 - (b) adopting a development option that excludes large-scale development in the Tung Chung Stream Valley;
 - (c) adopting a development option that excludes the marina to avoid its ecological impact, if evaluated to be significant; and
 - (d) programming of construction activities to minimize impacts to marine organisms especially Chinese White Dolphins (e.g. alternative land transport of construction materials to reduce marine traffic, limiting the number of work barges and vessels per day during construction, avoid overlapping with other planned and committed concurrent development projects in the vicinity such as those specified in Section 2 (vii) (m) above).
- (x) evaluate the feasibility and effectiveness of the recommended mitigation measures and define the scope, type, location, implementation arrangement, resource requirement, subsequent management and maintenance of such measures;
- (xi) determine and quantify as far as possible the residual ecological impacts after implementation of the proposed mitigation measures;
- (xii) evaluate the significance and acceptability of the residual ecological impacts using well-defined criteria in Annex 8 of the TM and determine if off-site mitigation measures are necessary to mitigate the residual impacts and if affirmative, guidelines

and requirements laid down in Annex 16 of the TM should be followed; and

- (xiii) review the need for and recommend any ecological monitoring programme required.

Appendix I**Requirements for Fisheries Impact Assessment**

1. Existing information regarding the assessment area shall be reviewed. Based on the review results, the assessment shall identify data gap and determine if there is any need for field surveys to collect adequate baseline information. If field surveys are considered necessary, the assessment shall recommend appropriate methodology, duration and timing for such surveys.
2. The fisheries impact assessment shall cover any potential short-term and long-term impacts on capture and culture fisheries during the construction and operation phases of the Project.
3. The fisheries impact assessment shall provide the following information:-
 - (i) description of the physical environmental background;
 - (ii) description and quantification of the existing fisheries activities;
 - (iii) description and quantification of the existing fisheries resources;
 - (iv) identification of parameters (e.g. water quality parameters) and areas of fisheries importance;
 - (v) prediction and evaluation of any direct/indirect, onsite/offsite impacts on fisheries (such as potential loss or disturbance of fishing grounds, fisheries habitats, spawning or nursery grounds water quality deterioration at aquaculture sites and artificial reefs and hydrological disruptions) caused by the project (including those associated with marina);
 - (vi) evaluation of cumulative impacts on fisheries due to other planned and committed concurrent development projects at or near the assessment area;
 - (vii) proposals of feasible, practicable and effective mitigation measures with details on justification, description of and programme feasibility as well as staff and financial implications including those related to subsequent management and maintenance requirements of the measures; and
 - (viii) review for the need of monitoring during the construction and operation phases of the Project and, if necessary, proposal for a monitoring and audit programme.

Appendix J**Requirements for Landscape and Visual Impact Assessment**

1. The Applicant shall review relevant outline development plan(s), outline zoning plan(s), layout plan(s) and/or studies which may identify areas of high landscape value, open space, amenity area, conservation area and green belt designations. Any guidelines on landscape and urban design strategies and frameworks that may affect the appreciation of the Project shall also be reviewed. The aim is to gain an insight to the future outlook of the area affected so as to assess whether the Project can fit into the surrounding setting. Any conflict with the statutory town plan(s) shall be highlighted and appropriate follow-up action shall be recommended. A system shall be derived for judging the landscape and visual impact significance as required under the Annexes 10 and 18 of the EIAO-TM and the EIAO Guidance Note No. 8/2010 "Preparation of Landscape and Visual Impact Assessment under the EIAO". Cumulative landscape and visual impacts of the Project with other existing, committed and planned developments in the assessment area shall be assessed.
2. The Applicant shall assess the landscape impact of the Project. The Applicant shall describe, appraise, analyse and evaluate the existing and planned landscape resources and characters of the assessment area. Annotated oblique aerial photographs and plans of suitable scale showing the baseline landscape resources and landscape character areas and mapping of impact assessment shall be extensively used to present the findings of impact assessment. Descriptive text shall provide a concise and reasoned judgment from a landscape point of view. The assessment shall be particularly focused on the sensitivity of the landscape framework and its ability to accommodate change. The Applicant shall identify the degree of compatibility of the Project with the existing and planned landscape setting. The landscape impact assessment shall quantify potential landscape impact as far as possible, so as to illustrate the significance of such impact arising from the Project. Clear mapping of the landscape impact is required. Where applicable, broad brush and vegetation survey shall be carried out and the impacts on existing trees and vegetation with conservation interest shall be addressed.
3. The Applicant shall assess the visual impact of the Project. Clear illustrations including mapping of visual impact is required. Descriptive text shall provide a concise and reasoned judgment from a visual point of view. Cumulative visual impact of the Project with other existing, committed and planned developments in the assessment area shall be assessed. The assessment shall include the following:
 - (i) identification and plotting of visual envelope of the Project;
 - (ii) identification of the key groups of existing and planned sensitive receivers within the visual envelope and their views at sea level, ground level and elevated vantage points;
 - (iii) description of the visual compatibility of the Project with the surrounding and the existing and planned setting, and its obstruction and interference with the key views within the visual envelope; and
 - (iv) description of the severity of visual impact in terms of nature, distance and number of sensitive receivers. The visual impact of the Project with and without mitigation measures shall be included and illustrated so as to demonstrate the effectiveness of the proposed mitigation measures across time.

- (v) evaluations and explanations of factors considered in arriving the significance thresholds of visual impact.
4. The Applicant shall evaluate the merits of preservation in totality, in parts or total destruction of existing landscape and the establishment of a new landscape character area. In addition, alternative location, site layout, development options, design and construction methods that would avoid or reduce the identified landscape and visual impacts shall be evaluated for comparison before adopting other mitigation or compensatory measures to alleviate the impacts. The mitigation measures proposed shall not only be concerned with damage reduction but shall also include consideration of potential enhancement of existing landscape and visual quality. The Applicant shall recommend mitigation measures to minimise adverse effects identified above, including provision of a landscape design.
 5. The mitigation measures shall include but not limited to preservation of vegetation, and natural landscape resources, transplanting of mature trees, provision of screen planting, re-vegetation of disturbed land, woodland restoration, wetland and water pond restoration, protection of stream courses, landscape enhancement or rehabilitation of existing drainage channel, landscape reinstatement for temporary construction work, compensatory planting using native trees and other plant species, setback for landscape buffer at the interface between the potential residential development area and area with high landscape sensitivity at Tung Chung West, protection of existing water table for agricultural land, provisioning/reprovisioning of amenity areas and open spaces, design of structures, provision of finishes to structures, colour scheme and texture of material used and any measures to mitigate the impact on existing and planned land uses and sensitive receivers. Parties shall be identified for the ongoing management and maintenance of the proposed mitigation works to ensure their effectiveness throughout the operational phase of the Project. A practical programme for the implementation of the recommended measures shall be provided.
 6. Annotated illustration such as coloured perspective drawings, plans and section/elevation diagrams, oblique aerial photographs, photographs taken at vantage points, and computer-generated photomontage shall be adopted to illustrate the landscape and visual impacts of the Project. The landscape and visual impacts of the Project with and without mitigation measures from representative viewpoints, particularly from views of the most severely affected visually sensitive receivers (i.e. worst-case scenario), shall be properly illustrated in existing and planned setting at four stages (existing condition, Day 1 with no mitigation measures, Day 1 with mitigation measures and Year 10 with mitigation measures) by computer-generated photomontage so as to demonstrate the effectiveness of the proposed mitigation measures. Computer graphics shall be compatible with Microstation DGN file format. The Applicant shall record the technical details in preparing the illustration, which may need to be submitted for verification of the accuracy of the illustration.

Appendix K**Requirements for Cultural Heritage Impact Assessment**1. **Built heritage impact assessment (BHIA)**

The Applicant shall conduct a built heritage impact assessment (BHIA), taking the results of the previous studies and other background of the site into account, to identify known and unknown built heritage items within the assessment area that may be affected by the Project and its associated works and to assess the direct and indirect impacts on built heritage items. The impacts include visual impact, impacts on the fung shui/visual corridor of the historic buildings and structures through change of water-table, vibration caused by the Project. Assessment of impacts on cultural heritage shall also take full account of, and allow where appropriate, the Guidelines for Landscape and Visual Impact Assessment of Annex 18 of the TM. The Applicant shall demonstrate that all reasonable efforts have been made to avoid or keep the adverse impacts of built heritage items to the minimum through modification of design of the Project, or use of latest construction / engineering techniques. For those built heritage items that may still be directly and indirectly affected by the Project, the Applicant shall recommend practicable mitigation measures and monitoring to avoid or keep the adverse impact to the minimum. A checklist including all the affected sites of cultural heritage, impacts identified, recommended mitigation measures as well as the implementation agent and period shall also be included in the EIA report.

2. **Archaeological impact assessment (AIA)**

The Applicant shall engage qualified archaeologist(s) to conduct an archaeological impact assessment (AIA), taking the results of previous studies and other background of the site into account, to evaluate the archaeological impact imposed by the Project and its associated works. The scope of the AIA shall be submitted to the Antiquities and Monuments Office and the Director prior to the commencement of the assessment for consideration. In case the existing information is inadequate or where the assessment area has not been adequately studied before, the archaeologists shall conduct archaeological field investigations to assemble data. If necessary, the archaeologists shall obtain a licence from the Antiquities and Authority under the Antiquities and Monuments Ordinance (Cap.53) prior to the commencement of any archaeological fieldwork. Based on existing and collected data, the Applicant shall evaluate whether the proposed developments and works associated with the Project are acceptable from archaeological preservation point of view. In case adverse impact on archaeological heritage cannot be avoided, appropriate mitigation measures should be designed and recommended in the EIA report.

3. **Marine Archaeological Investigation (MAI)**

(a) The Applicant shall engage a qualified marine archaeologist to conduct a marine archaeological review based on the best available information to identify whether there is any potential existence of sites or objects of cultural heritage within the seabed that will be affected by the marine works of the Project, whether the identified issues can be mitigated and whether there is a need for more detailed investigation. The review can take into account the scope and nature of proposed marine works, the results of previous marine archaeological investigations, the dredging history and other diving records, etc. The assessment area shall include all areas to be affected by the marine

works of the Project.

- (b) If marine archaeological potential is identified and the need for further investigation is confirmed, a MAI shall be carried out to ascertain the archaeological value of the affected seabed area. The Applicant shall propose a programme of investigation, including the scope of works, methodology and time schedule, etc. for agreement with the Director. The MAI shall be carried out by a qualified marine archaeologist who shall obtain a licence from the Antiquities Authority under the provision of the Antiquities and Monuments Ordinance, Cap. 53. If significant archaeological remains are identified, mitigation measures shall be designed and implemented in consultation with the Antiquities and Monuments Office.

4. The Applicant shall draw necessary reference to relevant sections of the “Guidelines for Cultural Heritage Impact Assessment” and “Guidelines for Marine Archaeological Investigation” at Appendices K-1 and K-2 respectively for detailed requirement.

Appendix K-1**Guidelines for Cultural Heritage Impact Assessment****Introduction**

The purpose of the guidelines is to assist the understanding of the requirements in assessing impact on archaeological and built heritage. The guidelines which will be revised by the Antiquities and Monuments Office (AMO) of the Leisure and Cultural Services Department from time to time, where appropriate, and when required should be followed in the interest of professional practice.

A comprehensive Cultural Heritage Impact Assessment (CHIA) includes a baseline study, an impact assessment study associated with the appropriate mitigation measures proposed and to be implemented by project proponents.

(1) Baseline Study

1.1 A baseline study shall be conducted:

- (a) to compile a comprehensive inventory of heritage sites within the proposed project area, which include:
 - (i) all recorded sites of archaeological interest (both terrestrial and marine);
 - (ii) all declared monuments;
 - (iii) all proposed monuments;
 - (iv) all buildings/ structures/ sites graded or proposed to be graded by the Antiquities Advisory Board (AAB);
 - (v) Government historic sites identified by AMO;
 - (vi) buildings/ structures/ sites of high architectural / historical significance and interest which are not included in items (i) to (v) above; and
 - (vii) cultural landscapes include places associated with historic event, activity, or person or exhibiting other cultural or aesthetic values, such as sacred religious sites, battlefields, a setting for buildings or structures of architectural or archaeological importance, historic field patterns, clan graves, old tracks, fung shui woodlands and ponds, and etc.
- (b) to identify the direct and indirect impacts on the heritage sites at the planning stage in order to avoid causing any negative effects. The impacts include the direct loss, destruction or disturbance of an element of cultural heritage, impact on its settings or impinging on its character through inappropriate sitting or design, potential damage to the physical fabric of archaeological remains and historic buildings/ structures/ sites through air pollution, change of ground water level, vibration, ecological damage, new recreation or other daily needs to be caused by the new development. The impacts listed are merely to illustrate the range of potential impacts and not intended to be exhaustive.

1.2 The baseline study shall also include a desk-top research and a field evaluation.

1.3. Desk-top Research

- 1.3.1 Desk-top research should be conducted to analyse, collect and collate the best available information. It shall include (if applicable) but not limited to:

- (a) List of declared and proposed monuments protected by the Antiquities and Monuments Ordinance (Chapter 53).
- (b) Graded and proposed graded historic buildings/ structures/ sites.
- (c) Government historic sites identified by AMO.
- (d) Lists and archives kept in the Reference Library of AMO including sites of archaeological interest, declared monuments, proposed monuments and recorded historic buildings/ structures/ sites identified by AMO.
- (e) Publications on local historical, architectural, anthropological, archaeological and other cultural studies, such as, Journals of the Royal Asiatic Society (Hong Kong Branch), Journals of the Hong Kong Archaeological Society, AMO Monograph Series and so forth.
- (f) Other unpublished papers, records, archival and historical documents through public libraries, archives, and the tertiary institutions, such as the Hong Kong Collection and libraries of the Department of Architecture of the University of Hong Kong and the Chinese University of Hong Kong, Public Records Office, photographic library of the Information Services Department and so forth.
- (g) Any other unpublished archaeological investigation and excavation reports kept by AMO.
- (h) Relevant information from AMO's website.
- (i) Historical documents in the Public Records Office, the Land Registry, District Lands Office, District Office and the Hong Kong Museum of History and so forth.
- (j) Cartographic and pictorial documents. Old and recent maps and aerial photos searched in the Map and Aerial Photo Library of the Lands Department.
- (k) Existing geological and topographic information (for archaeological desk-top research).
- (l) Discussion with local informants.

1.4 Field Evaluation

1.4.1 General

The potential value of the project area with regard the cultural heritage could be established easily where the area is well-documented. However, it does not mean that the area is devoid of interest if it lacks information. In these instances, site inspections and consultations with appropriate individuals or organizations should be conducted by those with expertise in local heritage to clarify the situation.

1.4.2 Field survey on historic buildings/ structures/ sites

- (a) Field scan of all the historic buildings/ structures/ sites within the project area.
- (b) Photographic recording of each historic building/ structure/ site including the exterior (the elevations of all faces of the building premises, the roof, close up for the special architectural details) and the interior (special architectural details), if possible, as well as the surroundings, the associated cultural landscape features and the associated intangible cultural heritage (if any) of each historic building/ structure/ site.
- (c) Interview with local elders and other informants on local historical, architectural, anthropological and other cultural information related to the historic buildings/ structures/ sites.
- (d) Historical and architectural appraisal of the historic buildings/ structures/ sites, their associated cultural landscape and intangible cultural elements.

1.4.3 Archaeological Survey

- (a) Appropriate methods for pricing and valuation of the archaeological survey, including by means of a Bill of Quantities or a Schedule of Rates should be adopted when appropriate in preparing specifications and relevant documents for calling tenders to carry out the archaeological survey. The specifications and relevant documents should be sent to AMO for agreement prior to calling tenders to conduct the archaeological survey.
- (b) For archaeologists involved in contract archaeological works, they should adhere to recognized standards for professional practice and ethical conduct in undertaking commissioned archaeological works under contracts. They should make themselves fully understand recognized principles and guidelines regarding contract archaeological works, such as those of the Institute for Archaeologists, European Associations of Archaeologists and in Mainland China.
- (c) A licence shall be obtained from the Antiquities Authority for conducting archaeological field work. It takes at least two months to process an application.
- (d) An archaeological brief/proposal, as an outline framework of the proposed archaeological works, should be prepared. The brief/proposal should clearly state the project and archaeological background, address necessary archaeological works required, elaborate the strategy and methodology adopted, including what particular research question(s) will be resolved, how the archaeological data will be collected and recorded, how the evidence will be analysed and interpreted and how the archaeological finds and results will be organized and made available. Effective field techniques including method and sampling details are required to be demonstrated clearly in the brief/proposal. Monitoring arrangement, reporting, contingency plan for field and post-excavation works and archive deposition (including finds, field and laboratory records, etc.) should also be addressed in the brief/proposal. The brief/proposal should be submitted to AMO for agreement prior to applying for a licence. Prior site visit to the project site before the submission of the brief/proposal is required so as to ascertain the feasibility of the proposed strategy and methodology as well as the availability of the proposed locations for auger survey and test pitting.
- (e) The following methods of archaeological survey (but not limited to) should be applied to assess the archaeological potential of the project area:
 - (i) Definition of areas of natural land undisturbed in the recent past.
 - (ii) Field scan of the natural land undisturbed in the recent past in detail with special attention paid to areas of exposed soil which were searched for artifacts.
 - (iii) Conduct systematic auger survey and test pitting. The data collected from auger survey and test pitting should be able to establish the horizontal spread of cultural materials deposits.
 - (iv) Excavation of test pits to establish the vertical sequence of cultural materials. The hand digging of 1 x 1 m or 1.5 x 1.5 m test pits to determine the presence or absence of deeper archaeological deposits and their cultural history.
 - (v) The quantity and location of auger holes and test pits should be agreed with AMO prior to applying for a licence. Additional auger holes and test pits may be required to ascertain and demarcate the extent of archaeological

deposits and remains.

- (vi) A qualified land surveyor should be engaged to record reduced levels and coordinates as well as set base points and reference lines in the course of the field survey.
- (vii) All archaeological works should be properly completed and recorded to agreed standards.

(f) Archaeologists should adhere to all the agreed professional and ethical standards for archaeological works, such as the standards and guidelines of the Institute for Archaeologists, English Heritage, European Associations of Archaeologists, Society for American Archaeology and in Mainland China.

(g) A Marine Archaeological Investigation (MAI) following *Guidelines for MAI* may be required for projects involving disturbance of seabed.

1.4.4 If the field evaluation identifies any additional heritage sites within the study area which are of potential historic or archaeological importance/interest and not recorded by AMO, the findings should be reported to AMO as soon as possible.

1.5 The Report of Baseline Study

1.5.1 The study report should unequivocally include all the direct and concrete evidence to show that the process of the above desk-top and field survey has been satisfactorily completed. This should take the form of a detailed inventory of the heritage sites supported by full description of their significance. The description should contain detailed geographical, historical, archaeological, architectural, anthropological, ethnographic and other relevant data supplemented with illustrations below and photographic and cartographic records, if required.

1.5.2 A master layout plan showing all the identified archaeological and built heritage sites within the study area should be provided in the report. All the identified heritage sites should be properly numbered with their locations indicated on the master layout plan.

1.5.3 Historic Buildings/ Structures/ Sites

- (a) A map in 1:1000 scale showing the boundary of each historic item.
- (b) Photographic records of each historic item.
- (c) Detailed recording form of each historic item including its construction year, previous and present uses, architectural characteristics, as well as legends, historic persons and events, cultural landscape features and cultural activities associated with the structure.
- (d) A cross-referenced checklist including the reference number of each historic item, their photo and drawing reference, as well as the page number of the detailed recording form of each identified historic item for easy cross-checking of individual records.

1.5.4 Sites of Archaeological Interest

- (a) A map showing the boundary of each site of archaeological interest as supported and delineated by field walking, augering and test-pitting.
- (b) Drawing of stratigraphic section of test-pits excavated which shows the cultural sequence of a site.

- (c) Reduced levels, coordinates, base points and reference lines should be clearly defined and certified by a qualified land surveyor.
- (d) *Guidelines for Archaeological Reports* should be followed (Annex 1).

1.5.5 A full bibliography and the source of information consulted should be provided to assist the evaluation of the quality of the evidence, including the title of the relevant material, its author(s), publisher, publication place and date. To facilitate verification of the accuracy, AMO will reserve the right to examine the full details of the research materials collected under the baseline study.

1.6 Finds and Archives

1.6.1 Archaeological finds and archives should be handled following *Guidelines for Handling of Archaeological Finds and Archives* (Annex 2).

1.7 Safety Issue

1.7.1 During the course of the CHIA Study, all participants shall comply with all Ordinances, Regulations and By-laws which may be relevant or applicable in safety aspect in connection with the carrying out of the CHIA Study, such as site safety, insurance for personal injuries, death and property damage as well as personal safety apparatuses, etc.

1.7.2 A Risk Assessment for the fieldwork shall be carried out with full consideration to all relevant Ordinances, Regulations and By-laws.

1.8 Information Disclosure

1.8.1 For releasing any information on the CHIA Study, the archaeologist/expert involved should strictly comply with the terms and conditions set in the contract/agreement and avoid conflict of interest.

(2) Impact Assessment Study

2.1 Identification of impact on heritage

2.1.1 The impact assessment study must be undertaken to identify the impacts on the heritage sites which will be affected by the proposed development subject to the result of desktop research and field evaluation. The prediction of impacts and an evaluation of their significance must be undertaken by expert(s) in local heritage.

2.1.2 During the assessment, both the direct impacts such as loss or damage of important features as well as indirect impacts should be clearly stated, such as adverse visual impact on heritage sites, landscape change to the associated cultural landscape features of the heritage sites, temporary change of access to the heritage sites during the work period, change of ground level or water level which may affect the preservation of the archaeological and built heritage *in-situ* during the implementation stage of the project.

2.1.3 The evaluation of cultural heritage impact assessment may be classified into five levels of significance based on type and extent of the effects concluded in the CHIA study:

- (a) Beneficial impact: the impact is beneficial if the project will enhance the

preservation of the heritage site(s) such as improving the flooding problem of the historic building after the sewerage project of the area;

- (b) Acceptable impact: if the assessment indicates that there will be no significant effects on the heritage site(s);
- (c) Acceptable impact with mitigation measures: if there will be some adverse effects, but these can be eliminated, reduced or offset to a large extent by specific measures, such as conduct a follow-up Conservation Proposal or Conservation Management Plan for the affected heritage site(s) before commencement of work in order to avoid any inappropriate and unnecessary interventions to the building;
- (d) Unacceptable impact: if the adverse effects are considered to be too excessive and are unable to mitigate practically;
- (e) Undetermined impact: if the significant adverse effects are likely, but the extent to which they may occur or may be mitigated cannot be determined from the study. Further detailed study will be required for the specific effects in question.

- 2.1.4 Preservation in totality must be taken as the first priority as it will be a beneficial impact and will enhance the cultural and socio-economical environment if suitable measures to integrate the heritage site into the proposed project are carried out.
- 2.1.5 If, due to site constraints and other factors, only preservation in part is possible, this must be fully justified with alternative proposals or layout designs which confirm the impracticability of total preservation.
- 2.1.6 Total destruction must be taken as the very last resort in all cases and shall only be recommended with a meticulous and careful analysis balancing the interest of preserving local heritage as against that of the community as a whole. Assessment of impacts on heritage sites shall also take full account of, and follow where appropriate, paragraph 4.3.1(c), item 2 of Annex 10, items 2.6 to 2.9 of Annex 19 and other relevant parts of the Technical Memorandum on Environmental Impact Assessment (EIA) Process (Technical Memorandum).

2.2 Mitigation Measures

- 2.2.1 It is always a good practice to recognize the heritage site early in the planning stage and site selection process, and to avoid it, i.e. preserve it *in-situ*, or leaving a buffer zone around the site with full justifications demonstrating the best practice of heritage conservation.
- 2.2.2 Mitigation is not only concerned with minimizing adverse impact on the heritage site but also should give consideration of potential enhancement if possible (such as to improve the access to the heritage site or enhance the landscape and visual quality of the heritage site).
- 2.2.3 Mitigation measures shall not be recommended or taken as *de facto* means to avoid preservation of heritage sites. They must be proved beyond all possibilities to be the only practical course of action. Heritage sites are to be in favour of preservation unless it can be demonstrated that there is a need for a particular development which is of paramount importance and outweighs the significance of a heritage site.
- 2.2.4 If avoidance of the heritage site is not possible, amelioration can be achieved by minimizing the potential impacts and the preservation of the heritage site, such as physically relocating it. Measures like amendments of the sitting, screening and

revision of the detailed design of the development are required to lessen its degree of exposure if it causes visual intrusion to the heritage site and affects the character and integrity of the heritage site.

- 2.2.5 A rescue programme, when required, may involve preservation of the historic building or structure together with the relics inside, and its historic environment through relocation, detailed cartographic and photographic survey or preservation of site of archaeological interest “by record”, i.e. through excavation to extract the maximum data as the very last resort.

2.3 The Impact Assessment Report

- 2.3.1 A detailed description and plans should be provided to elaborate on the heritage site(s) to be affected. Besides, please also refer to paragraph 4.3.1(d), items 2.10 to 2.14 of Annex 19 and other relevant parts of the Technical Memorandum and the Guidance Notes, other appropriate presentation methods for mitigation proposals like elevations, landscape plan and photomontage shall be used in the report extensively for illustrating the effectiveness of the measures.
- 2.3.2 To illustrate the landscape and visual impacts on heritage sites, as well as effects of the mitigation measures, choice of appropriate presentation methods is important. These methods include perspective drawings, plans and section/ elevation diagrams, photographs on scaled physical models, photo-retouching and photomontage. These methods shall be used extensively to facilitate communication among the concerned parties.
- 2.3.3 The implementation programme for the agreed mitigation measures should be able to be executed and should be clearly set out in the report together with the funding proposal. These shall form an integral part of the overall redevelopment project programme and financing of the proposed redevelopment project. Competent professionals must be engaged to design and carry out the mitigation measures.
- 2.3.4 For contents of the implementation programme, reference can be made to Annex 20 of the Technical Memorandum and the Guidance Notes. In particular, item 6.7 of Annex 20 requires to define and list out clearly the proposed mitigation measures to be implemented, by whom, when, where, to what requirements and the various implementation responsibilities. A comprehensive plan and programme for the protection and conservation of the preserved heritage site, if any, during the planning and design stage of the proposed project must be addressed in details.
- 2.3.5 Supplementary information to facilitate the verification of the findings shall be provided in the report including but not limited to:
- a. layout plan(s) in a proper scale illustrating the location of all heritage sites within the study area, the extent of the work area together with brief description of the proposed works;
 - b. all the heritage sites within the study area should be properly numbered and cross-reference to the relevant drawings and plans.
 - c. an impact assessment cross-referenced checklist of all the heritage sites within the study area including heritage site reference, distance between the heritage site and work area, summary of the possible impact(s), impact level, summary of the proposed mitigation measure(s), as well as references of the relevant plans,

- drawings and photos; and
- d. a full implementation programme of the mitigation measures for all affected heritage sites to be implemented with details, such as by whom, when, where, to what requirements and the various implementation responsibilities of individual parties.

* *This Guidelines for Cultural Heritage Impact Assessment was first set out in August 2008 based on the Criteria for Cultural Heritage Impact Assessment and revised subsequently in December 2008, July 2010, October 2010, March 2011, April 2011 and January 2012.*

Annex 1 to Appendix K-1**Guidelines for Archaeological Reports****I. General**

1. All reports should be written in a clear, concise and logical style.
2. All the constituent parts (text, figures, photos and specialist reports (if any)) should provide full cross-reference. Readers should be able to find their way around the report without difficulty.
3. The reports should be submitted in A4 size and accompanying drawings of convenient sizes.
4. Draft reports should be submitted to the Antiquities and Monuments Office (AMO) for comments within two months after completion of archaeological work unless otherwise approved by AMO.
5. The draft reports should be revised as required by AMO and relevant parties. The revised reports should be submitted to AMO within three weeks after receiving comments from AMO and relevant parties.
6. At least 5 hard copies of the final reports should be submitted to AMO for record purpose.
7. At least 2 digital copies of the final reports in both Microsoft Word format and Acrobat (.PDF) format without loss of data and change of appearance compared with the corresponding hard copy should be submitted to AMO. The digital copies should be saved in a convenient medium, such as compact discs with clear label on the surface and kept in protective pockets.
8. Errors are the responsibilities of the author(s) and should so far as possible be identified and rectified before submission to AMO.
9. The guidelines which will be revised by the AMO of the Leisure and Cultural Services Department from time to time, where appropriate, and when required should be followed in the interest of professional practice.

II. Suggested Format of Reports

1. Front page:
 - Project/Site name
 - Nature of the report
e.g. (Draft/Final)
Archaeological Investigation/Survey Report
Archaeological Impact Assessment Report
Watching Brief Report
Rescue Excavation Report
Post-excavation Report
 - Organization
 - Date of report
2. Contents list
Page number of each section should be given.
3. Non-technical summary (both in English and Chinese with approximate 150 - 300 words each)
This should outline in plain, non-technical language, the principal reasons for the archaeological work, its aims and main results, and should include reference to

authorship and commissioning body.

4. Introduction

This should set out background leading to the commission of the reports. The location, area, scope and date of conducting the archaeological work must be given. The location of archaeological work should be shown on maps in appropriate scales and with proper legends.

5. Aims of archaeological work

These should reflect the aims set in the project design.

6. Archaeological, historical, geological and topographical background of the site

Supporting aerial photos and maps (both old and present) in appropriate scales, with proper legends and with the site locations clearly marked on should be provided.

7. Methodology

The methods used including any variation to the agreed project design should be set out clearly and explained as appropriate.

8. Results

- The results should outline the findings, known and potential archaeological interests by period and/or type. Their significance and value with reference/inclusion of supporting evidence should be indicated. If more than one interpretation is possible, the alternatives should also be presented, at least in summary.
- The results should be amplified by the use of drawings and photographs.
- Tables summarizing features and artifacts by trench/grid/test pit together with their interpretation should be included.
- The method, sampling details, results and interpretation as well as appropriate supporting data of the analysis for the environmental materials, e.g. ecofacts identified and/or collected during the fieldwork should be included.
- For impact assessment, the likely effect of the proposed development on the known or potential archaeological resource should be outlined.

9. Conclusion

This should include summarization and interpretation of the result.

10. Recommendation

Recommendations on further work and the responsible party as well as a brief planning framework should be outlined.

11. Reference and bibliography

A list of all primary and secondary sources including electronic sources used should be given in full detail, including the title of the relevant material, its author(s), publisher, publication place and date.

12. Archaeological team

The director and members of the archaeological team and the author(s) of the report should be clearly specified.

13. Copyright and dissemination

The copyright of the report should be clearly identified. To facilitate future research

studies, please specify that the report can be made available to the public in the Reference Library of the Heritage Discovery Centre.

14. Supporting illustrations

They should be clearly numbered and easily referenced to the text. They should be scanned and saved in TIFF or JPEG formats.

A. Maps

A location plan of the project site should be included. Archaeological work locations, such as auger hole and test pit locations (with relevant coordinates certified by a qualified land surveyor), should be clearly shown on maps in appropriate scales, with proper legends, grid references (in 8 digits) and captions.

B. Drawings of test pits, archaeological features, special finds¹, selected representative samples from general finds

Drawings of all excavated test pits (at least one cross section of each test pit), all excavated archaeological features (both plan and cross section of each archaeological feature), all special finds identified in the excavation and selected representative samples from general finds (at least front view and section of each finds) should be included. All drawings should be clearly numbered and easily referenced to the text. The drawing scales stipulated below should be followed:

Cross section and profile drawings of test pits	1:20
Archaeological feature drawings	1:10
Finds drawings	1:1

If drawings of the above stated scales are not appropriate to be incorporated into the report under certain occasions, reduced copy of the drawings with the same scales are acceptable. Proper captions, legends and indication of reduced size should be given.

C. Photos of project site and the surrounding area, test pits, archaeological features, special finds, selected representative samples from general finds

Photos of project site and the surrounding area, all excavated test pits (at least one cross section of each test pit), all excavated archaeological features (both plan and cross section of each archaeological feature), all special finds identified in the excavation and selected representative samples from general finds (at least front view of each of the finds) should be included. All photos should be at least in 3R size with proper captions and scales. They should be clearly numbered and easily referenced to the text. They should be scanned and saved in TIFF or JPEG formats.

15. Supporting data in appendices

These should consist of essential technical details to support the result. These may include stratigraphic record of test pits and auger holes, records of general and special finds as well as ecofacts discovered with description, quantity and context number/stratigraphic sequence, result of laboratory testing, index of field archives.

¹ Special finds are sometimes known as small finds (小件) in Chinese or registered finds. Drawings and photos of the special/small/registered finds should be included in the archaeological report.

16. Other professional views/comments
This can reflect any issues/difficulties regarding the archaeological project observed/encountered by the archaeological team.
17. Comment and response
All comments and responses from AMO and relevant parties should be attached in full.

III. Green Measures

1. All reports should be of single line spacing and printed on both sides of the paper.
2. Excessive page margins should be avoided. A top/bottom margin of 2 cm and left/right margin of 2.5 cm are sufficient.
3. Use of blank paper should be avoided as far as possible.
4. Suitable font type of font size 12 should be used generally in balancing legibility and waste reduction objective.

Annex 2 to Appendix K-1**Guidelines for Handling of Archaeological Finds and Archives****I. General Remark**

1. The guidelines which will be revised by the Antiquities and Monuments Office (AMO) of the Leisure and Cultural Services Department from time to time, where appropriate, and when required should be followed in the interest of professional practice.
2. Please use the site code (_____)** for the archaeological project, namely _____. Licensee must use this unique site code for the whole project.

** If an archaeological project covers more than one archaeological site/location, licensee should contact the Central Archaeological Repository (CAR) at 2384 5446 or aciamoar@lcsd.gov.hk to obtain relevant site codes.

3. Licensee should contact the CAR at 2384 5446 or aciamoar@lcsd.gov.hk regarding the handover of archaeological finds and archives when post-excavation research and excavation report have been completed and accepted by the AMO.
4. If a huge quantity of similar general finds was discovered from a single archaeological project, licensee is advised to consult the AMO regarding the collecting strategy as early as possible.
5. For the preparation of archaeological finds and archives for long-term curation by the CAR, the guidelines as set out below should be followed.
6. If the licensee does not handle the finds and archives in accordance with this guidelines, the AMO may inform the project proponent to revise the relevant data. The arrangement of handover may subsequently be deferred.

II. Archaeological Finds**7. Cleaning**

The excavated finds should be properly cleaned with water, except: (i) the finds are identified for scientific analysis; (ii) metal & organic objects (e.g. bone, wood, leather, textile objects and etc.) should not be cleaned with water. Licensee is advised to consult the AMO if in doubt.

8. Marking

- The excavated finds should be cleaned before marking object number.
- “Sandwich” technique¹ should be adopted for marking permanent object number.

¹ Steps for “Sandwich” technique

1. First of all, the find number should be marked in appropriate area and size that does not impact important diagnostic or aesthetic parts of the find.
2. Clean the area to be marked.
3. Apply a thin coat of clear reversible lacquer on the area. Use white lacquer if the object is dark in colour. Let the base coat dry completely.
4. Use a permanent water-based ink to write the find number on top of the base coat. Let ink dry completely.
5. Apply a top coat of clear varnish.
6. Let the clear varnish dry completely before packing.

- Each special find should be marked with site code, context number and SF number, etc.
- Any representative samples selected from the general finds for discussion on the excavation report should be marked with site code, context number, sample number and bagged separately.
- The general finds should be marked with site code and context number.
- For the finds which are too small, organic objects (e.g. bone, wood, leather, textile objects and etc.) or have unstable surface, object number should not be marked on the object directly. These finds should be bagged separately and attached with a label containing information about the site code, context number, find number and description of find.

9. Labeling and bagging

- Two labels should be provided for each bag which contains finds, one is adhered on the surface of the bag while the other is kept inside the bag for easy reference.
- The label inside the bag should be kept separately with a smaller plastic bag so that the label can be kept much longer.
- Information about the site code, context number, test-pit number, object number (or bag number) and description of finds should be written clearly on the label.
- Finds under the same context should be bagged together. If those finds, however, have been categorized according to their typology, materials or characteristics, separate bagging is required.

10. Conservation

- To refit and reconstruct pottery vessels with appropriate adhesive. A heat and waterproof adhesive, e.g. product of H. Marcel Guest Ltd., is recommended.
- Any adhesives which are not reversible or would damage the finds should not be applied on the finds. Archaeologist is advised to consult the AMO if in doubt.

11. Finds register

A standard finds register, for both special finds and general finds, with information about the find's number, name, description, quantity, type, weight, dimensions and field data should be duly filled in. Licensee should contact the CAR at 2384 5446 or aciamoar@lcsd.gov.hk to obtain the standard finds register (in Excel format). Special finds and general finds should be inputted in individual register. Both hard & soft copies (in Excel format) of the duly completed register should be handed over.

12. Sample register of eco-facts

A clear sample register with information about the description of the sample, quantity, type and weight should be prepared for handover.

III. Field Records and Finds Processing Records

13. Field records include field diary, site record for individual test pit/trench/square, context recording sheet, special finds recording sheet, soil sample & eco-facts sample recording sheet, map, survey sheet, photograph/ audio-visual records, etc.

14. Finds processing records include conservation record, measured drawings and photographs, laboratory reports, etc.

15. Measured drawing, both hard & soft copies (in pdf format), and photograph (in jpg format)

of each special find should be handed over.

16. All the aforesaid records stated in paragraphs 12 to 14 should be handed over to the CAR when post-excavation research and excavation report have been completed. Please note:
- all the field records should be submitted together with indexes.
 - the video footage should be submitted together with index describing the content of the video footage.
 - all the slides, colour/ black & white negatives or digital photographs should be submitted together with photo register.

IV. Handover of Finds

17. Packing

- Each special find should be packed and protected with tissue paper, bubble sheet or P.E. foam to avoid shocking when transporting to the repository. No packing material other than the aforesaid items should be used.
- The general finds should be protected with bubble sheet or P.E. foam and packed in heavy duty plastic container.
- The heavy duty plastic container, e.g. product of the Star Industrial Co., Ltd. (No. 1849 or 1852), is recommended.
- For oversized finds, prior advice on packing method should be sought from the AMO.

18. Handover procedure

- The licensee should make an appointment with the CAR for the handover and arrange to transport the finds and archives to the repository.
- Prior to handover, licensee is required to supply with the aforesaid finds register, field records register and associated records to the CAR for checking at least three working days in advance. Exact date of handover will be arranged subsequently.
- Handover forms for finds and archives should be signed by the representatives of the licensee and the AMO.

Appendix K-2**Guidelines for Marine Archaeological Investigation (MAI)**
(As at October 2010)

The standard practice for MAI should consist of four separate tasks, i.e. (1) Baseline Review, (2) Geophysical Survey, (3) Establishing Archaeological Potential and (4) Remote Operated Vehicle (ROV)/Visual Diver Survey/Watching Brief. Marine archaeologists should make reference to the standard and guidance of the Institute for Archaeologists and English Heritage to carry out MAI.

1. Baseline Review

- 1.1 A baseline review should be conducted to collate the existing information in order to identify the potential for archaeological resources and, if identified, their likely character, extent, quality and value.
- 1.2 The baseline review will focus on known sources of archive data. It will include:
 - (a) Geotechnical Engineering Office (GEO) – the Department holds extensive seabed survey data collected from previous geological research.
 - (b) Marine Department, Hydrographic Office - the Department holds a substantial archive of hydrographic data and charts.
 - (c) The Royal Naval Hydrographic Department in the UK - the Department maintains an archive of all survey data collected by naval hydrographers.
 - (d) Relevant government departments should be consulted in order to obtain the information of dredging history (if any) on the proposed project area. Area for sand dredging, mud disposal and allocated marine borrow area within Hong Kong should also be considered during the review.
- 1.3 The above data sources will provide historical records and more detailed geological analysis of submarine features which may have been subsequently masked by more recent sediment deposits and accumulated debris.

2. Geophysical Survey

- 2.1 Extensive geophysical survey of the study area should deploy high resolution boomer, side scan sonar, an echo sounder and high resolution multi beam sonar. The multi beam data must be presented as processed digital terrain models to facilitate the archaeological analysis. The data received from the survey would be analysed in detail to provide:
 - (a) Exact definition of the areas of greatest archaeological potential.
 - (b) Assessment of the depth and nature of the seabed sediments to define which areas consist of suitable material to bury and preserve archaeological material.
 - (c) Detailed examination of the boomer and side scan sonar records to map anomalies in and on the seabed which may be archaeological material.
 - (d) Detailed examination of the multi beam sonar data to assess the archaeological potential

of the sonar contacts.

3. Establishing Archaeological Potential

- 3.1 The data examined during Task 1 and 2 will be analysed to provide an indication of the likely character and extent of archaeological resources within the study area. This would facilitate formulation of a strategy for investigation.
- 3.2 The results would be presented as a written report and charts. If there is no indication of archaeological material there would be no need for further work.
- 3.3 Charts should be presented at the most appropriate scale and show each survey contact. Its dimensions and exact location should also be shown.

4. Remote Operated Vehicle (ROV)/Visual Diver Survey/Watching Brief

- 4.1 Subject to the outcome of Task 1, 2 and 3, accepted marine archaeological practice would be to plan a field evaluation programme to acquire more detailed data on areas identified as having archaeological potential. The areas of archaeological interest can be inspected by ROV or divers. ROV or a team of divers with both still and video cameras would be used to record all seabed features of archaeological interest.
- 4.2 Owing to the heavy marine traffic in Hong Kong, the ROV/visual diver survey may not be feasible to achieve the target. If that is the case, an archaeological watching brief is the most appropriate way to monitor the dredging operations in areas of identified high potential to obtain physical archaeological information.
- 4.3 A sampling strategy for an archaeological watching brief would be prepared based on the results of Task 1, 2 and 3 to focus work on the areas of greatest archaeological potential. Careful monitoring of the dredging operations would enable immediate identification and salvage of archaeological material. If archaeological material is found, the AMO should be contacted immediately to seek guidance on its significance and appropriate mitigation measures would be prepared.
- 4.4 If Task 4 is undertaken, the results would be presented in a written report with charts.

5. Report

Five copies of the final report should be submitted to the AMO for record.

Appendix M**Requirements for EIA Report Documents**

1. The Applicant shall supply the Director with the following number of copies of the EIA report and the executive summary:
 - (i) 30 copies of the EIA report and 30 copies of the executive summary (each bilingual in both English and Chinese) as required under section 6(2) of the EIAO to be supplied at the time of application for approval of the EIA report.
 - (ii) When necessary, addendum to the EIA report and the executive summary submitted in (i) above as required under section 7(1) of the EIAO, to be supplied upon advice by the Director for public inspection.
 - (iii) 20 copies of the EIA report and 50 copies of the executive summary (each bilingual in both English and Chinese) with or without Addendum as required under section 7(5) of the EIAO, to be supplied upon advice by the Director for consultation with the Advisory Council on the Environment.
2. To facilitate public inspection of EIA report via EIAO Internet Website, the Applicant shall provide electronic copies of both the EIA report and the executive summary prepared in HyperText Markup Language (HTML) (version 4.0 or later) and in Portable Document Format (PDF version 1.3 or later). For the HTML version, a content page capable of providing hyperlink to each section and sub-section of the EIA report and the executive summary shall be included in the beginning of the document. Hyperlinks to figures, drawings and tables in the EIA report and the executive summary shall be provided in the main text from where respective references are made. Graphics in the report shall be in interlaced GIF format or in suitable formats accepted by the Director.
3. The electronic copies of the EIA report and the executive summary shall be submitted to the Director at the time of application for approval of the EIA report.
4. When the EIA report and the executive summary are made available for public inspection under section 7(1) of the EIAO, the content of the electronic copies of the EIA report and the executive summary must be the same as the hard copies and the Director shall be provided with the most updated electronic copies.
5. To promote environmentally friendly and efficient dissemination of information, both hardcopies and electronic copies of future EM&A reports recommended by the EIA study shall be required.